

General Grant Information

Country	India				
Grant Number	IDA-607-G11-H	Component	HIV/AIDS	Round	6
Grant Title	Expanding Access to Anti-Retroviral Treatment & Counseling & Testing Facilities in Focus Geographic Areas of India				
Principal Recipient	The Department of Economic Affairs of the Government of India				
Total Lifetime Budget	\$ 190,095,341	Phase 1 Grant Amount	\$ 72,637,937	Phase 2 Grant Amount	\$ 89,081,012
Grant Start Date	01 Jul 2007	Phase 1 End Date	30 Jun 2009	Phase 2 End Date	30 Jun.12
Disbursed Amount	\$ 95,132,020	% of Grant Amount	59%	Latest Rating	A2
Time Elapse (at the end of the latest reporting period)	33 months	% of Grant Duration	55%	Proposal Lifetime	60 months

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1. Program Description and Contextual Information

1.1. Program Description Summary

The Indian government estimates that approximately 2.4 million people in India were living with HIV as of 2007. The epidemic is highly varied across states and regions but the states identified as being most affected in 2006 are the four southern states of Andhra Pradesh, Karnataka, Tamil Nadu and Maharashtra, and the northeastern state of Manipur. The program supported by this grant is a partnership between the public and private sectors to provide HIV and AIDS prevention, treatment, care, and support. It aims at strengthening the infrastructure, systems and human resources in prevention, care, support and treatment programs at the district, state and national levels; and strengthening the monitoring and evaluation system for ensuring high levels of drug adherence and patient care. The grant focuses on providing antiretroviral therapy and treatment for opportunistic infections. The grant components are being consolidated with IDA-202-G02-H-00; IDA-304-G04-C and IDA-405-G06-H.

1.2. Country Latest Statistics

Background and Health Spending	Estimate	Year	Source
Total population (in 1000s)	1,214,464	2010	United Nations. World Population Prospects: .The 2008 Revision
Pop age 0-4 (in 1000s)	125,648	2010	United Nations. World Population Prospects: .The 2006 Revision
Pop age 15-49 (in 1000s)	647,003	2010	United Nations. World Population Prospects: .The 2006 Revision
Physicians (number)	643,520	2000-2009	WHO. World Health Statistics 2010
Nursing and midwifery personnel (number)	1,372,059	2000-2009	WHO. World Health Statistics 2010
Infant mortality rate (per 1,000 live births)	52	2008	.WHO.World Health Statistics 2010
Under-5 mortality rate (per 1,000 live births)	69	2008	UNICEF. Child mortality database (http://www.childinfo.org/mortality_ufmrcountryd ata.php) accessed on 3 May 2010
Income level	Lower middle income	2010	World Bank. World Development Indicators database
GNI per capita, Atlas method (current US\$)	1,040	2008	World Bank. World Development Indicators database
Total health expenditure per capita (USD)	40	2007	WHO. World Health Statistics 2010
ODA commitments in health sector (US\$ million)	638.04	2008	.OECD
ODA commitments in all sectors (US\$ million)	5,946.81	2008	.OECD
Human Development Index (HDI)	Medium	2007	UNDP. Human Development Indices: A statistical update 2009
HIV/AIDS	Estimate	Year	Source
Adult HIV prevalence (%)	0.3	2007	UNAIDS. 2008 Report on the global AIDS epidemic (http://www.unaids.org/en/KnowledgeCentre/HIVData/GlobalReport/2008/2008_Global_report.asp) accessed September, 2008
People living with HIV	2,400,000	2007	UNAIDS. 2008 Report on the global AIDS epidemic (http://www.unaids.org/en/KnowledgeCentre/HIVData/GlobalReport/2008/2008_Global_report.asp) accessed September, 2008
Estimated number of people receiving ARV therapy	320,074	2009	WHO. Towards Universal Access Progress Report 2010
People currently on ART	284,104	mid 2010	Global Fund-supported programs, mid 2010 results

1.3. Comments on Key Discrepancies between Approved Proposal and Grant

N/A

1.4. Initial PR Assessments

Assessment Area	Rating	Summary of Recommendations/Action Required and Taken
Overall	x	
Financial Management and Systems	x	
Institutional and Programmatic	x	

1.5. Conditions Precedent

CP #	Condition Precedent	CP Type	Tied To	Terminal Date	Is currently met?	Comments
1	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has completed capacity assessments of the State AIDS Control Societies (the "SACS") in Focus Geographical Areas and key non governmental Sub-recipients, including the Karnataka Health Promotion Trust, and, based on the results of those assessments, initiated capacity building initiatives for each of the assessed entities as per NACPIII strategy;		Disbursement		Yes	
2	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has fully integrated the Global Fund Program grants management and reporting requirements in its revised CPFMS computerized accounting system, and further conforming to the Finance Reform Plan of NACPIII and allowing Principal Recipient to adequately tracks and manages the Sub-recipient of Grant funds (including SACS) activities and financial performance;		Disbursement		Yes	As informed by the PR, the computerized accounting system is in place and operational. The training for the accounting software for the SACS staff has also been carried out. Same was also validated, during our field visits to UP SACS and Rajasthan SACS.
3	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has appointed 1 (one) accountant and 1 (one) data entry operator in Accounts and Finance Unit (AFU) in each of the Focus Geographic Areas and strengthened the Project Financial Management Unit (PFMU) of Principal Recipient as per NACPIII, including the appointment of 1 (one) finance manager to manage the Program, all of which with the appropriate expertise and experience;		Disbursement		Yes	It was explained by the PR that appointments are done at the SR level and that all the positions are filled., however, details of staff appointed were not available at PR and it was explained that the same is available is SR level. We visited 2 SRs (UP SACS and Rajasthan SACS) during the PU/DR review, which had the staff in position. At PR, finance manager is in place.
4	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that an internal audit plan and Terms of Reference for auditors to cover SACS are in place by no later than 31 October 2007;		Other	31.Oct.07	Yes	
5	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has established operational National Steering Committee on Donor Coordination under NACP III in its headquarters in Delhi, and State Steering Committees on Donor Coordination under the supervision of the SACS, in all states included in the Geographical Focus Area;		Disbursement		Yes	

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CP #	Condition Precedent	CP Type	Tied To	Terminal Date	Is currently met?	Comments
6	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal recipients of other Global Fund grant funds (currently Alliance India and PFI) can actively participate in those State Steering Committees on Donor Coordination as representatives of the development partners operating in the state;		Disbursement		Yes	
7	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has established a system of coordination and reporting ensuring that PFI and Alliance India are regularly informed of national and state level coordination meetings and directives related to the Program and the programs being implemented by PFI and Alliance India;		Disbursement		Yes	
8	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that key staff of Principal Recipient and SACS have attended Global Fund organized regional training in Financial Management, Monitoring & Evaluation, and Procurement.		Disbursement		Yes	
9	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has developed an operational plan for Monitoring & Evaluation as per the national M&E strategy of NACPIII;		Disbursement		Yes	
10	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient, in consultation with key implementation partners and international donors, has finalized a joint monitoring plan to assess the outcomes the first year of NACPIII implementation;		Disbursement		Yes	
11	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that District AIDS Coordination Committees are functional in Geographic Focus Areas and that principal recipients of other Global Fund grant funds (currently Alliance India and PFI) are an integral part of those District AIDS Coordination Committees;		Disbursement		In Progress	As explained by the PR, the District AIDS Prevention and Control Units (DAPCU) are in the process of being created and operationalized. As per the CMIS of NACO, upto June 2009, 125 DAPCUs were set up in the Country out of the total target of 195.
12	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that the Action Plan for Training and Capacity Building under NACPIII is under implementation;		Disbursement		Yes	
13	The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that nongovernmental Sub-recipients are receiving funds from NACO and the SACS in a timely manner following the provision of adequate disbursement requests.		Disbursement		Yes	

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CP #	Condition Precedent	CP Type	Tied To	Terminal Date	Is currently met?	Comments
14	Special Terms and Conditions: The Principal Recipient representations under the taxes and duties provisions and Article 4(a) shall be limited to a representation that no grant funds shall be used to finance any customs duties, tariffs, import taxes, or other similar levies and taxes associated with the import, manufacture or sale of products or commodities or the procurement of services for the program assessed under laws in effect in the host country. In the event that such taxes or suites are levied, the Principal Recipient shall ensure that such taxes and duties are paid from sources other than grant proceeds.		Other		No	No taxes or duties have been charged to grant funds on PR expenditure. However, there is currently no mechanism to identify whether any taxes have been charged in SR expenditure and if so, the amount of such taxes. This is because the SRs send a consolidated Statement of Expenditure (SOE) for each quarter from which details of individual transactions cannot be ascertained.
15	Special Terms and Conditions: The Auditor selected by the Principal Recipient under Article 7(b) shall be the Controller and Auditor General of the Government of India		Other		In Progress	
16	Special Terms and Conditions: For the purpose of Article 7(e) use of term "Audits" shall mean financial and programmatic audits of accounts and records relating to the financial management and programmatic implementation of the program;		Other		In Progress	
17	Special Terms and Conditions: For the purpose of Article 7(f), the terms "books and records" shall mean those books, records and other materials maintained by the Principal Recipient with respect to the financial management and programmatic implementation of the program.		Other		In Progress	
18	Special Terms and Conditions: The parties recognize that the purpose of article 13(g) is to permit the Global Fund or its representative to perform "ad hoc" site visits as may be reasonably required to ensure sound management of the program. The Global Fund will use its best efforts to ensure, however, that these visits be coordinated with the Principal Recipient as reasonably necessary and to the extent such coordination does not undermine the purpose of the visits;		Other		In Progress	
19	Special Terms and Conditions: In the event the Global Fund decided to change the LFA, the Global Fund shall inform with the country coordinating mechanism prior to appointing a new entity to serve as LFA.		Other		In Progress	
20	Special Terms and Conditions: The Global Fund and the Principal Recipient commit to use their best efforts to resolve any issues related to procurement under the program in a collaborative fashion		Other		In Progress	
21	Special Terms and Conditions: The Department of Economic Affairs of the Government of India hereby confirms that the National AIDS Control Organization of the Ministry of Health and Family Welfare will be implementing agency for the purpose of this agreement;		Other		In Progress	
22	Special Terms and Conditions: The staff recruited in accordance with the Sub-section B1.c of this Annex A, or staff with equivalent expertise and experience, shall remain in place for the duration of the Program.		Other		In Progress	

2. Key Grant Performance Information

2.1. Program Goals, Impact and Outcome Indicators

Goal 1 To reduce HIV related morbidity and mortality in adults and children

Impact indicator	% of adults and children with HIV still alive 12 months after initiation of antiretroviral therapy (extend to 2, 3, 5 years as program matures)							Baselines			
								Value	Year		
								Not available	2007		
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	
Target	75	80	85	85	85						
Result	N: D: P: %	N: D: P: %	N: D: P: 89%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	

Impact indicator	% of adults aged 15-49 who are HIV infected							Baselines			
								Value	Year		
								0.36	2006		
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	
Target	0.35	0.33	0.34	0.33	0.33						
Result	0.36%	0.34%	0.29								

Goal 2		To mitigate the impact of HIV in children and women headed households										
Impact indicator	% of infants born to HIV infected mothers who are infected								Baselines			
									Value	Year		
			22	2007								
	% of infants born to HIV infected mothers who are infected								Baselines			
Value									Year			
		0.57	2006									
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10		
Target			20	18	16							
Result		N: D: P: 22%	N/A									

2.2. Programmatic Performance

2.2.1. Reporting Periods

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
N/A	01.Jul.07 30.Sep.07	01.Oct.07 31.Dec.07	01.Jan.08 31.Mar.08	01.Apr.08 30.Jun.08	01.Jul.08 30.Sep.08	01.Oct.08 31.Dec.08	01.Jan.09 31.Mar.09	01.Apr.09 30.Jun.09
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
N/A	01.Jul.09 30.Sep.09	01.Oct.09 31.Dec.09	01.Jan.10 31.Mar.10	01.Apr.10 30.Jun.10	01.Jul.10 30.Sep.10	01.Oct.10 31.Dec.10	01.Jan.11 31.Mar.11	01.Apr.11 30.Jun.11
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24
N/A	01.Jul.11 30.Sep.11	01.Oct.11 31.Dec.11	01.Jan.12 31.Mar.12	01.Apr.12 30.Jun.12	01.Jul.12 30.Sep.12	01.Oct.12 31.Dec.12	01.Jan.13 31.Mar.13	01.Apr.13 30.Jun.13

2.2.2. Program Objectives, Service Delivery Areas and Indicators

Objective 1 - Widen access to treatment (including ART) and expanding access to counseling and testing

Treatment: Antiretroviral treatment (ARV) and monitoring

Indicator 1.1 - Number of people (adults) currently receiving Antiretroviral therapy (Round VI States)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	13,000 13,000	Y	Y	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	3,500	7,000	10,500	14,000	17,500	21,000	24,500	28,000
Result	Pending result	11,100	Pending result	17,970	26,593	40,232	Pending result	51,145

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	50,000	58,000	66,000	75,000	79,000	83,000	86,000	90,000
Result	57,452	Pending result	68,526	Pending result				

	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24
Target	92,000	94,000	97,000	100,000				
Result								

Indicator 1.2 - Number of children currently receiving Antiretroviral therapy (All States)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	1,800 18001,800 1,800 1800	Y	Y	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	2,000	5,000	7,500	10,000	11,000	12,000	13,500	15,000
Result	Pending result	6,547	Pending result	8,711	10,483	13,197	Pending result	15,654

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	16,000	17,000	18,500	20,000	21,000	22,000	23,500	25,000
Result	16,940	Pending result	19,182	Pending result				

	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24
Target	26,000	28,000	29,000	30,000				
Result								

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Indicator 1.3 - Number of new ART Centers established (Round VI States)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Level 2-Service Points supported	36	Y	N	N										
Target	6	12	15	18	22	26	26	26	26					
Result	Pending result	9	Pending result	18	18	18	Pending result							27
Target	26	26	38	38	38	38	54	54						
Result	31	Pending result	55	Pending result										
Target	54	54	59	64										54
Result														

Indicator 1.4 - Number of health care facilities with laboratory capacity to conduct CD4 counts (Round6 states)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Level 2-Service Points supported	24	Y	N	N										
Target			20	20	20	20	38	38						
Result			Pending result	23	45	27	Pending result							29
Target	38	38	71	71	71	71	81	81						
Result	45	Pending result	47	Pending result										
Target	81	81	81	81										
Result														

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Indicator 1.5 - Number of CD4 tests performed (R6 states)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Level 2-Service Points supported	26000	Y	N	N										
Target	7,000	14,000	21,000	28,000	35,000	42,000	49,000	56,000						
Result	Pending result	29,071	Pending result	63,077	85,339	109,314	Pending result	179,799						
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16						
Target	150,000	180,000	210,000	250,000	260,000	275,000	285,000	300,000						
Result	218,249	Pending result	306,376	Pending result										
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24						
Target	310,000	320,000	340,000	360,000										
Result														

Prevention: Counseling and testing

Indicator 1.6 - Number of people counselled and tested for HIV including provision of results at ICTC (R6 states)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Level 3-People reached	2,206,032	Y	Y	N										
Target	700,000	1,500,000	2,400,000	3,400,000	4,400,000	5,600,000	6,900,000	8,300,000						
Result	Pending result	1,783,523	Pending result	3,034,687	3,686,274	4,506,246	Pending result	6,748,456						
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16						
Target	9,800,000	11,300,000	12,300,000	14,300,000	15,800,000	17,300,000	18,800,000	20,300,000						
Result	7,807,084	9,069,178	Pending result	Pending result										
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24						
Target	21,800,000	23,300,000	24,800,000	26,300,000										
Result														

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Objective 2 - Ensure access to OI treatment and improve drug adherence by establishing Community Care Centres

Treatment: Prophylaxis and treatment for opportunistic infections

Indicator 2.1 - Number of cases of OI treated (R6 states)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Level 3-People reached	Not available N/A	Y	Y	N										
Target	50,000	100,000	150,000	200,000	250,000	300,000	350,000	400,000						
Result	Pending result	22,132	Pending result	82,032	126,759	176,091	Pending result	260,153						
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16						
Target	450,000	500,000	550,000	600,000	650,000	700,000	750,000	800,000						
Result	343,789	Pending result	448,789	Pending result										
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24						
Target	850,000	900,000	950,000	1,000,000										
Result														

Prevention: Counseling and testing

Indicator 2.2 - Number of ICTCs set up (R6 states)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Level 2-Service Points supported	912	Y	N	N										
Target	100	250	450	630	786	941	1,096	1,252						
Result	Pending result	250	Pending result	630	850	1,087	Pending result	1,087						
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16						
Target	2,116	2,116	2,116	2,116	2,116	2,116	2,116	2,116						
Result	2,056	Pending result	2,107	Pending result										
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24						
Target	2,116	2,116	2,116	2,116										
Result														

Care and Support: Care and support for the chronically ill

Indicator 2.3 - Number of Community Care Centers established (all states)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)														
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8						
Level 2-Service Points supported	122	Y	N	N														
Target	8	20	32	47	62	77	92	106										
Result	Pending result	24	Pending result	81	90	92	Pending result	119										
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16										
Target	106	106	112	112	112	112	128	128										
Result	119	Pending result	122	Pending result														
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24										
Target	128	128	128	128														
Result																		

Indicator 2.4 - Number of PLHAs provided care at Community Care Centers (all states)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)														
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8						
Level 3-People reached	N/A	Y	Y	N														
Target	1,750	3,500	5,250	7,000	8,750	10,500	12,250	14,000										
Result	Pending result	156,476	Pending result	55,015	43,550	53,313	Pending result	107,239										
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16										
Target	60,000	70,000	80,000	90,000	100,000	110,000	120,000	130,000										
Result	131,652	Pending result	159,415	Pending result														
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24										
Target	135,000	140,000	145,000	150,000														
Result																		

Objective 3 - Mitigate the impact of HIV on children and women

Supportive Environment: Coordination and partnership development (national, community, public-private)

Indicator 3.1 - Number of ART staff and CCC staff trained in counselling services and referral.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)								
	Value	Year										
Level 1-People trained	180	Y	Y	Y								
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8				
Target	30	60	75	90	110	130	130	130				
Result	Pending result	146	Pending result	342	448	548	Pending result	1,343				
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16				
Target	550	600	650	675	700	757	757	757				
Result	1,517	Pending result	1,813	Pending result								
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24				
Target	780	800	800	800								
Result												

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HSS: Information system & Operational research

Indicator 3.2 - Number of PLHAs (Adults & Children) on ART issued smart cards (R4 states)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 2-Service Points supported	0	Y	N	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	0	0	7,500	15,000	22,500	30,000	37,500	45,000
Result				0	0	0		0

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	0	0	0	N: 50,000 D: 200,000 P: 25%	N: 75,000 D: 197,368 P: 38%	N: 100,000 D: 200,000 P: 50%	N: 150,000 D: 200,000 P: 75%	N: 200,000 D: 200,000 P: 100%
Result	0							

	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24	
Target	N: 200,000 D: 200,000 P: 100%	N: 200,000 D: 200,000 P: 100%	N: 200,000 D: 200,000 P: 100%	N: 200,000 D: 200,000 P: 100%	N: 200,000 D: 200,000 P: 100%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result									

Indicator 3.3 - Number of Operation Research Projects completed and results disseminated

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 0-Process/Activity Indicator	0	Y	N	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	0	0	1	2	2	2	2	4
Result			Pending result	2	2	2	Pending result	2

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	4	4	4	4	6	6	6	6
Result	2	Pending result	2	Pending result				

	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24
Target	6	6	8	8				
Result								

2.2.3. Cumulative Progress To Date

Latest reporting due period : 12 (01.Apr.10 - 30.Jun.10)

Objective 1 Widen access to treatment (including ART) and expanding access to counseling and testing

SDA Treatment: Antiretroviral treatment (ARV) and monitoring

Indicator 1.1 - Number of people (adults) currently receiving Antiretroviral therapy (Round VI States)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	12	75,000	11	68,526					91%	

Indicator 1.2 - Number of children currently receiving Antiretroviral therapy (All States)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	12	20,000	11	19,182					96%	

Indicator 1.3 - Number of new ART Centers established (Round VI States)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	12	38	11	55					120%	

Indicator 1.4 - Number of health care facilities with laboratory capacity to conduct CD4 counts (Round6 states)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	12	71	11	47					66%	

Indicator 1.5 - Number of CD4 tests performed (R6 states)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	12	250,000	11	306,376					120%	

SDA Prevention: Counseling and testing

Indicator 1.6 - Number of people counselled and tested for HIV including provision of results at ICTC (R6 states)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	12	14,300,000	10	9,069,178					63%	

Objective 2 Ensure access to OI treatment and improve drug adherence by establishing Community Care Centres

SDA Treatment: Prophylaxis and treatment for opportunistic infections

Indicator 2.1 - Number of cases of OI treated (R6 states)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	12	600,000	11	448,789					75%	

SDA Prevention: Counseling and testing

Indicator 2.2 - Number of ICTCs set up (R6 states)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	12	2,116	11	2,107					100%	

SDA Care and Support: Care and support for the chronically ill

Indicator 2.3 - Number of Community Care Centers established (all states)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	12	112	11	122					109%	

Indicator 2.4 - Number of PLHAs provided care at Community Care Centers (all states)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	12	90,000	11	159,415					120%	

Objective 3 Mitigate the impact of HIV on children and women

SDA Supportive Environment: Coordination and partnership development (national, community, public-private)

Indicator 3.1 - Number of ART staff and CCC staff trained in counselling services and referral.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 1-People trained	12	675	11	1,813					120%	

SDA HSS: Information system & Operational research

Indicator 3.2 - Number of PLHAs (Adults & Children) on ART issued smart cards (R4 states)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	12	N: 50,000 D: 200,000 P: 25 %	9	0					Cannot Calculate	

Indicator 3.3 - Number of Operation Research Projects completed and results disseminated
6

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 0-Process/Activity Indicator	12	4	11	2					50%	

2.3. Financial Performance**2.3.1. Grant Financial Key Performance Indicators (KPIs)**

Grant Duration (months)	60 months	Grant Amount	161,718,949 \$
% Time Elapsed (as of end date of the latest PU)	55%	% disbursed by TGF (to date)	59%
Time Remaining (as of end date of the latest PU)	27 months	Disbursed by TGF (to date)	95,132,020 \$
Expenditures Rate (as of end date of the latest PU)	84%	Funds Remaining (to date)	66,586,929 \$

2.3.2. Program Budget

	Budget Period 1	Budget Period 2	Budget Period 3	Budget Period 4	Budget Period 5	Budget Period 6	Budget Period 7	Budget Period 8
Period Covered From:	01.Jul.07	01.Oct.07	01.Jan.08	01.Apr.08	01.Jul.08	01.Oct.08	01.Jan.09	01.Apr.09
Period Covered To:	30.Sep.07	31.Dec.07	31.Mar.08	30.Jun.08	30.Sep.08	31.Dec.08	31.Mar.09	30.Jun.09
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	4,912,167	11,813,000	16,731,833	30,849,556	36,405,389	43,648,500	48,512,001	63,866,000
Summary Period Budget:	4,912,167	6,900,833	4,918,833	14,117,723	5,555,833	7,243,111	4,863,501	15,353,999

Expenditure Categories**Program Activities****Implementing Entities**

	Budget Period 9	Budget Period 10	Budget Period 11	Budget Period 12	Budget Period 13	Budget Period 14	Budget Period 15	Budget Period 16
Period Covered From:	01.Jul.09	01.Oct.09	01.Jan.10	01.Apr.10	01.Jul.10	01.Oct.10	01.Jan.11	01.Apr.11
Period Covered To:	30.Sep.09	31.Dec.09	31.Mar.10	30.Jun.10	30.Sep.10	31.Dec.10	31.Mar.11	30.Jun.11
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	72,614,505	80,361,950	87,822,243	95,251,378	102,195,355	109,139,332	118,302,173	127,427,120
Summary Period Budget:	8,748,505	7,747,445	7,460,293	7,429,135	6,943,977	6,943,977	9,162,841	9,124,947

Expenditure Categories**Program Activities****Implementing Entities**

	Budget Period 17	Budget Period 18	Budget Period 19	Budget Period 20	Budget Period 21	Budget Period 22	Budget Period 23	Budget Period 24
Period Covered From:	01.Jul.11	01.Oct.11	01.Jan.12	01.Apr.12	01.Jul.12	01.Oct.12	01.Jan.13	01.Apr.13
Period Covered To:	30.Sep.11	31.Dec.11	31.Mar.12	30.Jun.12	30.Sep.12	31.Dec.12	31.Mar.13	30.Jun.13
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	134,933,732	142,440,344	152,416,071	162,349,693	162,349,693	162,349,693	162,349,693	162,349,693
Summary Period Budget:	7,506,612	7,506,612	9,975,727	9,933,622				

Expenditure Categories**Program Activities****Implementing Entities**

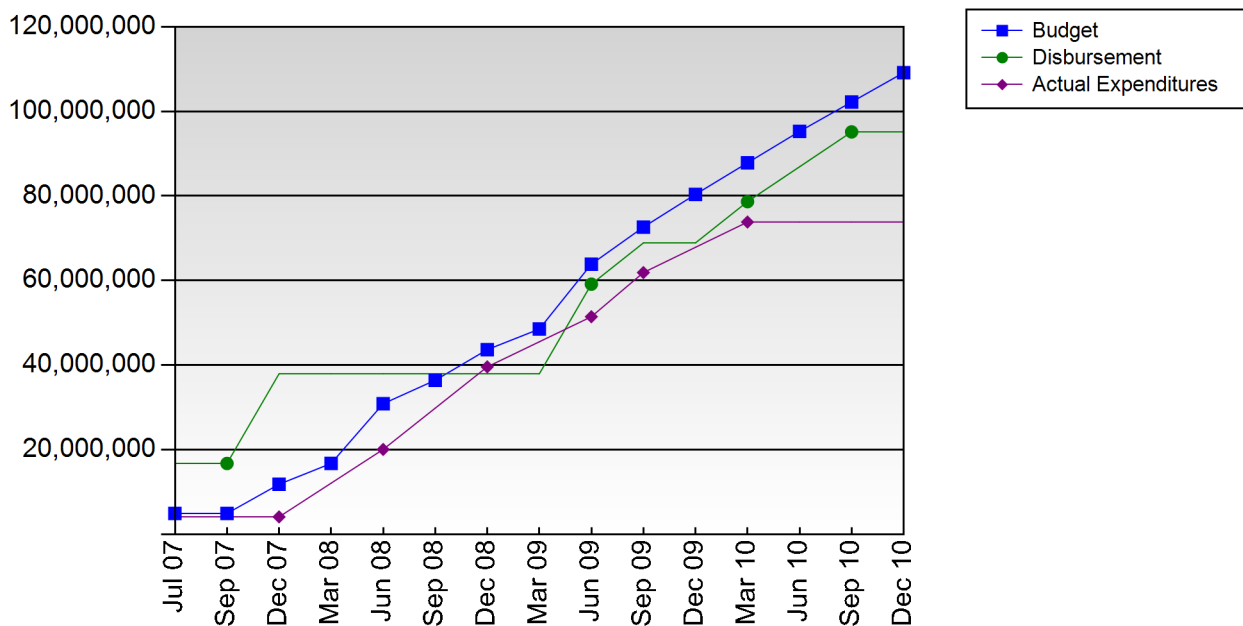
- Comments and additional information

The Phase 2 budget is currently being finalized.

2.3.3. Program Expenditures

Period PU6: 01.Oct.09 - 31.Mar.10	Actual Expenditures	Cumulative Budget	Cumulative Expenditures	Variance	Reason for variance
1. Total actual expenditures vs. budget	\$ 11,941,077	\$ 87,822,243	\$ 73,814,925	\$ 14,007,318	
1a. PR's Total expenditure	\$ 1,171,344		\$ 15,370,515		
1b. Disbursements to sub-recipients	\$ 10,769,733		\$ 36,147,042		
2. Health product expenditures vs. Budget (already included in "Total Actual" above)	\$ 1,859,412		\$ 24,965,555		
2a. Pharmaceuticals	\$ 628,201		\$ 20,031,457		
2b. Health products, commodities and equipment	\$ 1,231,211		\$ 4,934,098		

2.3.4. Cumulative Program Budget, Expenditures and Disbursement to Date



2.3.5. Summary of Financial Accountability Issues from PR Annual Audit Report

Date Received		Expected Date	15.Dec.08
Period Covered From	01.Apr.07	To	31.Mar.08

The final TGF specified audit report is expected.

2.4. Progress Update and Disbursement Information

Rating	Description
A1	Exceeding expectations
A2	Meeting expectations
B1	Adequate
B2	Inadequate but potential demonstrated
C	Unacceptable

Progress Updates

Disbursement Information

IDA-607-G11-H

Last Updated on: 11 October 2010

PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request		Disbursement Amount	Disbursement Date
0				N/A	1	01.Jul.07 - 31.Dec.07	16,731,833		\$ 16,731,833	28 Sep 2007
Summary of Progress				Reasons for variance between PR Request and Actual Disbursement						
This is the first disbursement request, therefore no Progress Update is available.				No variance						
PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request		Disbursement Amount	Disbursement Date
1	01.Jul.07 - 31.Dec.07				1	01.Jan.08 - 30.Jun.08	14,285,083	11,960,804		N/A
Summary of Progress				Reasons for variance between PR Request and Actual Disbursement						
The rating is combined with the rating of PU3.				Funds were not disbursed in August 2008 as programmatic data were only available for the period up until December 2008. PR was requested to provide more up to date information based on which a disbursement decision was to be made. The DR is combined with DR4.						
PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request		Disbursement Amount	Disbursement Date
2	01.Jan.08 - 30.Jun.08				1	01.Jul.08 - 31.Dec.08	20,976,232	20,976,232		N/A
Summary of Progress				Reasons for variance between PR Request and Actual Disbursement						
The rating is combined with the rating of PU3. The performance of the program implemented by the National AIDS Control Organization (NACO) of the Government of India to date is very good. 9 out of 13 indicators either fully achieve or significantly overachieve the targets. The PR is accelerating the program implementation by establishing additional ART and Community Care Centers (CCC) and putting more people on treatment. "Number of people currently receiving ART" is 17,970 against the target of 14,000 (128%). Number of People Living with HIV/AIDS (PLHA) provided care at CCC is 55,051 against the target of 7,000 (786%). Establishment and operationalization of additional ART centers in Period 4 also led to overachievement in "Number of ART staff and CCC trained in counseling services and referral" (342 against the target of 90, i.e. 380%). Achievement for "Number of PLHAs on ART issued smart cards" is at 0%, due to delay in implementation of Health Smart Card initiative. However, PR expects to see the results against this indicator by the end of the next semester period. Achievement for "Number of OI cases treated" is at 40% due to the initial delays with the developing the reporting system. The reporting system is finally under way and PR expects the substantial increase of the results in the forthcoming reporting period.				Funds were not disbursed as programmatic data were only available for the period up until June 2008. PR was requested to provide more up to date information based on which a disbursement decision will be made in April 2009. The DR is combined with DR4.						

IDA-607-G11-H

Last Updated on: 11 October 2010

PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request		Disbursement Amount	Disbursement Date
3	01.Jul.08 - 31.Dec.08		B1	2	01.Jan.09 - 29.Jun.09	52,339,408	42,395,481	\$ 42,395,481	29 Jun 2009
Summary of Progress				Reasons for variance between PR Request and Actual Disbursement					
<p>The performance of the program implemented by the National AIDS Control Organization (NACO) of the Government of India is very good. As of 31 December 2008, 9 out of 13 indicators were either fully achieved or significantly over-achieved the targets. The PR is accelerating program implementation. The grant quantitative indicator rating is B1. Top 10 indicators rating is A1 with the average performance of 102%. All indicator rating is A2 with the average performance of 96%. "Number of people (adults) currently receiving ART" is 40,232 against the target of 34,000 (after adjusting the baseline) (i.e. 118% of the target). Higher achievement on this indicator is due to various factors, including a higher awareness among general population, increased referrals from ICTCs to ART centres, increased training of staff, and what seems to be a reduction in stigma. Number of People Living with HIV/AIDS (PLHA) provided care at CCC is 53,313 against the target of 10,500 in all states (508% of the target capped at 120% by the new grant rating methodology). Establishment and operationalization of additional ART centers in Period 4 also led to overachievement in "Number of ART staff and CCC trained in counseling services and referral" (548 against the target of 130, i.e. 422%). Achievement for "Number of PLHAs on ART issued smart cards" is at 0%, due to delay in implementation of Health Smart Card initiative. The SR selected for the implementation had to be changed due to the failure to deliver and the initiative is to be implemented in Phase 2. Achievement for "Number of OI cases treated" is at 59% due to the initial delays with the developing a adequate reporting system. This reporting system is finally under way and PR expects the substantial increase of the results in the forthcoming reporting periods. The PR also is making the efforts aimed at training staff and increasing the awareness among PLHAs that should impact the performance of this indicator. The number of new ART centers established (R6 states only) is only 18 against the target of 22, as the PR experienced general problems with infrastructure and staffing. 3 new ART centers have been established in January 2009, while another 8 ART centers are in different stages of recruitment, training of staff & refurbishment. Certain ICTC have been functioning both as ICTC and ART centers, which has enhanced the treatment achievements.</p>				<p>Main reasons for reduction in the recommended disbursement amount are:</p> <ul style="list-style-type: none"> - LFA adjustments in expenditure reported by the PR for quarter 5 and 6 - Exclusion of cost of smart cards from the forecasted budget for quarter 7 & 8 - Exclusion of the forecasted cash requirement for quarter 9 (buffer quarter), which falls in Phase 2 					
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request		Disbursement Amount	Disbursement Date
4	01.Jan.09 - 30.Jun.09			3	01.Jul.09 - 29.Dec.09	15,898,806	16,261,109		N/A
Summary of Progress				Reasons for variance between PR Request and Actual Disbursement					
<p>1) The programmatic progress of the PR is satisfactory, as the targets against most of the key indicators have been achieved, except for the indicator 'Number of people counselled and tested for HIV including provision of results at ICTC' where achievement rate is 81%. 2) Utilization rate reported in the current reporting period is 55%, but the same is due to adjustment for the expenditure claimed in the earlier periods done by the PR based on receipt of audit reports from SACS. 3) Certain issues were observed in data quality and reporting</p>				<p>The difference between the amount requested by the PR and that recommended for disbursement by LFA is due to adjustments made by LFA.</p> <p>The programmatic performance of the PR has been satisfactory. Utilisation or burn rate in the reporting period is low, but the same is due to audit adjustments of past periods., therefore entire budget for the next 9 months (including one buffer quarter) of Phase 2 has been recommended . However, it may be noted that the grant agreement for phase 2 is in negotiation process with Global Fund and is yet to be signed. LFA Recommends that out of the total funds required i.e. USD 16,261,109; USD 4,738,686 (undisbursed amount of Phase 1) should be disbursed first and the balance disbursement (i.e. USD 11,522,423) should be made only after the grant agreement for Phase 2 is signed.</p>					

PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request		Disbursement Amount	Disbursement Date
5	01.Jul.09 - 30.Sep.09		A2	3	01.Oct.09 - 29.Mar.10	26,153,127	18,970,483	\$ 19,520,044	23 Mar 2010

Summary of Progress				Reasons for variance between PR Request and Actual Disbursement					
Overutilisation has been reported in the current reporting period; the programmatic progress of the PR is satisfactory, as the targets against most of the key indicators have been achieved/over-achieved, except for the indicators.				<p>The overall grant rating for the period is A2. The program is performing very well, with a cumulative burn rate of 85% and the achievement of almost all the key targets.</p> <p>The LFA calculation of the disbursement amount of USD 18'970'483 is made as follows: a) a negative cash balance of USD 2'196'973 b) forecasted expenditure of USD 16'773'510. The amount differs from the PR request in that the PR has not reported the correct opening cash balance as per the previous LFA adjusted PU/DR, the PR forecast includes procurement already carried out during the Progress Update period and expenditure reported mistakenly includes the procurement of Test Kits budgeted under a different grant.</p> <p>The Country Team concurs with the LFA adjustments with one exception: the Team is of the opinion that the PR is entitled to submit disbursement requests using the current exchange rate rather than the exchange rate used in the grant agreement. Using the current exchange rate of 45 INR/USD the Country Team recommended disbursement is USD 19'520'044.</p>					

PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request		Disbursement Amount	Disbursement Date
6	01.Oct.09 - 31.Mar.10		A2	4	01.Apr.10 - 30.Sep.10	16,484,662	16,432,099	\$ 16,484,662	13 Sep 2010

Summary of Progress				Reasons for variance between PR Request and Actual Disbursement					

2.5. Contextual Information

Title	Explanatory Notes

2.6. Phase 2/ Periodic Review Grant Renewal

Performance Rating	B1. Adequate	Recommendation Category	Conditional Go
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Rationale for Phase 2/ Periodic Review Recommendation Category**Program Performance:**

The Principal Recipient (PR), the Department of Economic Affairs of the Government of India, has demonstrated excellent results to date, achieving or exceeding most of its targets for this Round 6 HIV grant in India. The program, part of the National AIDS Control Program, reported strong performance in the following:

- 40,232 adults received antiretroviral therapy (192% of target capped at 120%)
- 53,313 PLWHAs (People Living with HIV/AIDS) received care at Community Care Centers (508% of target capped at 120%)
- 92 Community Care Centers were established (119% of target)
- 13,197 children received antiretroviral therapy (110% of target)
- 1,087 Integrated Counseling and Testing Centers (ICTC) were established (116% of target)

However, the PR did not place any PLWHA on ART health smart cards, as originally scheduled in Phase 1. This delay in implementation was caused by the need to change the non-performing Sub-Recipient (SR) responsible for this activity. A new SR has been selected and the initiative is expected to begin in Phase 2.

Furthermore, the PR only met 59% of its target for the indicator "number of opportunistic infections (OI) treated" due to initial delays in developing an adequate reporting system. The reporting system is now underway and the PR expects a substantial increase of the results in Phase 2.

Program management and governance:

The PR has adequately managed this grant to date, disbursing funds in a timely and efficient manner. However, while the estimated HIV prevalence in India has declined from 0.91% in 2005 to 0.34% in 2007, the PR has not addressed assumptions used to arrive at the reduction in targets from the original proposal for some activities in this program. Moreover, there appear to be inconsistencies between the increased targets, the reduced HIV prevalence, and the National AIDS Control Organization (NACO) grant targets decrease relating to the number of adults on ARV treatment. The Secretariat recommends that the PR address the assumptions used to set up the new targets, and clarify any potential inconsistencies between targets used for this program and the general trends in national prevalence.

The PR manages Round 2, 3, 4 6 and 7 HIV grants, all of which are a part of the National AIDS Control Program. The Secretariat recommends that the PR work towards consolidating grants wherever possible.

The Secretariat classifies this Request as a " Conditional Go."

Rationale for Phase 2/ Periodic Review Recommendation Amount

In light of good performance in Phase 1, the Secretariat concludes that an incremental amount of \$97,852,982 (65% of original Phase 2 budget) is appropriate for continued funding. The funding of the integrated counseling and testing component has been reduced to five months, since it is already included in the approved Round 2 RCC proposal.

Time-bound Actions	
Issues	Description
1. The PR has not integrated the financial management of the grant in the revised CPFMS (Computerized Project Financial Management System) as required in a condition contained in the Grant Agreement.	Condition: 1. By not later than 30 September 2009 the PR shall submit to the Global Fund evidence that it has fully integrated the financial management of the grant into its revised CPFMS (Computerized Project Financial Management System).
2. The PR has revised the targets for the program from the original proposal for Phase 2, but has not submitted the revised the detailed budget and work plan.	TBAs: 2. Prior to signing the Phase 2 extension, the PR shall submit to the Global Fund a clarification of the proposed new targets in relation to the revised prevalence estimates, as well as a detailed budget and work plan that accounts for the revised Phase 2 targets and impact indicators.
3. The Enhanced Financial Report (EFR) for the first year of the Phase 1 period submitted by the PR does not correspond to the Global Fund requirements.	3. Prior to signing the Phase 2 extension, the PR shall submit to the Global Fund a revised EFR for the first year of the Phase 1 period that complies with the Global Fund requirements.
4. The PR has not provided sufficient information on the health products being procured under this grant.	4. Prior to signing the Phase 2 extension, the PR shall provide to the Global Fund a completed 'List of Health Products' (Annex 1a/1b to the Procurement and Supply Management Plan template) including quantities, unit pack, unit costs and total cost. The PR shall include all assumptions used to determine quantities – taking into account the stock on hand – and unit costs and should clearly link to targets where appropriate, aligning the budget accordingly. The PR shall also adequately update its procurement information for the relevant health products in the Global Fund's Price and Quality Reporting (PQR) system.

