

General Grant Information

Country	India				
Grant Number	IDA-910-G21-H	Component	HIV/AIDS	Round	09
Grant Title	Priority Response to Accelerate the National Programme with Difficult to Reach Key Populations in Underserved Areas				
Principal Recipient	Emmanuel Hospital Association				
Grant Status	Active - Phase II				
Grant Start Date	01 Oct 2010	Grant End Date	30 Sep 2015		
Current* Phase Start Date	01 Oct 2012	Current* Phase End Date	30 Sep 2015	Latest Rating	B1
Current* Phase Signed Amount	\$ 8,786,731	Current* Phase Committed Amount	\$ 5,476,308	Current* Phase Disbursed Amount	\$ 5,476,308
Cumulative Signed Amount	\$ 12,535,920	Cumulative Committed Amount	\$ 9,225,497	Cumulative Disbursed Amount	\$ 9,225,497
				% Disbursed	100%
Time Elapsed (at the end of the latest reporting period)	48 months	Proposal Lifetime	Not Available	% of Grant Duration	80%

* Latest Phase if grant is closed

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1. Program Description and Contextual Information

1.1. Grant Summary - Web

According to the National AIDS Control Organization (NACO), there are approximately 96,463 to 189,729 male and 10,055 to 33,392 female Injecting Drug Users (IDUs) in India. Among the IDUs, HIV prevalence of less than 5 percent has been observed in 10 states and 23 districts in India and of less than 15 percent is observed in 7 districts. Through NACO India stands committed to the Millennium Development Goal (MDG) of reversing the spread of HIV/AIDS by 2015. The program supported by this grant aims to implement large scale HIV prevention interventions targeting IDUs and their sexual partners such as Needle Syringe Programme (NSP) and Opioid Substitution Therapy (OST). The program plans to conduct activities for IDUs including prevention of transmission among IDU, primary health care for IDUs, advocacy with law enforcement, social support, and income generating activities for IDUs. Moreover, the program will strengthen the harm reduction programme in the country by improving individual and institutional capacities and quality assurance.

1.2. Country Latest Statistics

Background and Health Spending	Estimate	Year	Source
Population, total	1,236,686,732	2012	The World Bank Group (Data latest 2013 (update: 2012)
Birth rate, crude (per 1,000 people)	22	2011	The World Bank Group (Data latest 2013 (update: 2011)
Death rate, crude (per 1,000 people)	8	2011	The World Bank Group (Data latest 2013 (update: 2011)
External resources for health (% of total expenditure on health)	1	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure per capita (current US\$)	59	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, private (% of GDP)	3	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, public (% of GDP)	1	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, public (% of government expenditure)	8	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, public (% of total health expenditure)	31	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, total (% of GDP)	4	2011	The World Bank Group (Data latest 2013 (update: 2011)
Life expectancy at birth, total (years)	65	2011	The World Bank Group (Data latest 2013 (update: 2011)
Nurses and midwives (per 1,000 people)	1	2010	The World Bank Group (Data latest 2013 (update: 2010)
Physicians (per 1,000 people)	1	2010	The World Bank Group (Data latest 2013 (update: 2010)
Community health workers (per 1,000 people)		2005	The World Bank Group (Data latest 2013 (update: 2005)
Hospital beds (per 1,000 people)	1	2005	The World Bank Group (Data latest 2013 (update: 2005)
HIV/AIDS	Estimate	Year	Source
AIDS Orphans Number estimate		2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Annual number of AIDS deaths Number estimate		2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Estimated HIV prevalence, adult (15-49 years old)(%)		2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Estimated number of people needing antiretroviral therapy based on 2010 WHO guidelines		2012	UNAIDS Report on the Global AIDS 2012 Epidemic
People living with HIV Number estimate		2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Reported number of people receiving antiretroviral therapy	543,000	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
People currently on ART	713,906	2014	Mid-2014 Global Fund Results

1.3. Comments on Key Discrepancies between Approved Proposal and Grant

- The TRP recommends that the Secretariat, during grant negotiation or M&E processes, ask the country to report on results of the appeal, enactment of the amended law (Indian Penal Code Section 377), related to the decriminalization of same sex behavior across the whole country, and how this has led to improved safety and better access to prevention and care services for men who have sex with men and transgender communities.
- The TRP recommends that the Secretariat, during grant negotiation or M&E processes, ask the country to report on advocacy outcomes for policy development (SDA 2.3). These may include outcomes implied in this response such as new policies developed on issues like domestic partnerships, inheritance rights, immigration, or adoption, reduction in the percentage of reported cases of violence by law enforcement authorities (police), or reduction in the percentage of reported cases of stigma by MSM groups, or number of female partners (spouses) of bisexual/married MSM seeking sexual and reproductive health services.
- The TRP recommend that the Secretariat, during M&E processes, follows up on complementarity with work funded by and on the same key populations (men who have sex with men and injecting drug users).
- The TRP recommends that the Secretariat and LFA pay particular attention to the information provided under the gap analysis and to ensure that subsequent budgeting takes into consideration all other contributions by other donors in country to ensure that the GF grants are additional.
- Considering that there remains a need to update some information (i.e. baseline and targets for the impact indicator that will be revised for each target group based on the HSS 2008 data), the TRP would like the Secretariat to consider a final revision of the baseline and targets for the impact indicator during grant negotiation.
- Finally, the TRP reminds the CCM and the PR of the decision of the Board (GF/B20/DP9) and encourages them to find efficiencies as part of the grant negotiations process.

1.5. Conditions Precedent

CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
	Condition Precedent	the delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that a suitably qualified Finance Manager has been recruited for the Project Management Unit (PMU) and Terms of Reference for each of the finance positions described in the Program Budget and Workplan have been established.	Finance	Disbursement		Met	This was a CP to the first disbursement. The PR has recruited a qualified Finance Manager for the PMU. The PR informed the Global Fund of this recruitment on 15 September 2010. The PR has shared the TORs of the finance positions with the Global Fund during assessment of the PR.

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CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
	Condition Precedent	<p>2. Condition(s) Precedent to disbursement of Grant funds for, or use by the Principal Recipient of Grant funds for, disbursement to Sub-recipients</p> <p>The disbursement by the Global Fund for, or use by the Principal Recipient of Grant funds for, disbursement to Sub-recipients is subject to the following conditions:</p> <p>a. delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of a Sub-Recipient Management Plan. Such a plan shall in particular include details on the following aspects of the Principal Recipient's management of Sub-recipients:</p> <p>i. procedures for complying with Article 14 of the Standard Terms and Conditions of this Agreement with regards to the standards of assessment and selection of Sub-recipients;</p> <p>ii. procedures for negotiation of Sub-recipient agreements as described in Article 14(b) of the Standard Terms and Conditions of this Agreement, involving among other things detailed procedures for programmatic and financial reporting by Sub-recipients, including an accounting of the use of disbursed funds;</p> <p>iii. procedures for the coordination of the work of Sub-recipients;</p> <p>iv. procedures for the Principal Recipient's programmatic and financial oversight of Sub-recipients, including, without limitation, procedures governing the monthly reporting by Sub-recipients; quality controls to ensure the integrity of financial and programmatic data; and oversight over the use of goods purchased with Grant funds;</p> <p>v. procedures to apply an efficient and transparent disbursement system for Sub-recipients based on the budget and programmatic and financial reporting approved by the Global Fund; and</p> <p>vi. reporting timelines and frameworks for Sub-recipients.</p> <p>The Sub-Recipient Management Plan must also include a plan for the organization of periodic regional and country-level Sub-recipient workshop meetings involving, among other things, discussion of the implementation of adequate financial controls and reporting mechanisms;</p>	Others	Other		Met	The PR submitted a revised SR Management Plan to the Global Fund on 05 October 2010 which was approved by the Global Fund on 18 October 2010. The Plan was verified by LFA.
	Condition Precedent	<p>b. delivery by the Principal Recipient to the Global Fund of evidence, in form and substance satisfactory to the Global Fund, demonstrating that the Principal Recipient has (i) conducted a comprehensive assessment of each Sub-recipient and (ii) provided to each Sub-recipient time-bound recommendations for addressing any programmatic, managerial and financial capacity gaps identified during the course of the assessment.</p>	Others	Disbursement		Met	The grant implementation during Phase-1 was to be carried out with ten (10) STRCs as SRs. NACO was able to only identify nine (9) STRCs to serve as SRs under the grant during Phase-1. Hence, as the "10th STRC" was not identified by NACO no assessment was required and the this condition should be considered as met.
	Condition Precedent	<p>1. Notwithstanding Article 3(c) of the Standard Terms and Conditions of this Agreement, the Global Fund and the Principal Recipient agree that the Phase 1 Starting Date of the Program shall be 1 October 2010.</p>	Others	Other		Met	

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CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
	Condition Precedent	2. No later than 31 December 2010, the Principal Recipient shall provide to the Global Fund evidence, in form and substance satisfactory to the Global Fund, that the Principal Recipient has completed the identification and recruitment of the staff of the Program Management Unit (PMU) as described in the Program Budget and Workplan.	Others	Other		Met	The PR has recruited the staff for Program Management Unit before 06 October 2010.
	Condition Precedent	3. No later than 31 December 2010, the Principal Recipient shall provide to the Global Fund evidence, in form and substance satisfactory to the Global Fund, that the Principal Recipient has developed a plan to coordinate Program activities with the States AIDS Control Societies (SACS). This plan (the "SACS Coordination Plan") shall include, among other things, procedures for consultations amongst the Principal Recipient, each Sub-recipient and the SACS, with steering and guidance from NACO. The SACS Coordination Plan shall provide for the organization of periodic regional and country-level meetings involving, among other things, development of procedures for assessment of Sub-recipient activities by the SACS and discussion of such assessments and resulting recommendations. The SACS Coordination Plan shall also include a description of strengthening measures to be undertaken by the State Training and Resource Centres; an identification of those interventions that are to function as Good Practice Centres under the Program; and an identification of the location of Regional Technical and Training Centres under the Program.	Others	Other		Met	The PR recieved inputs from NACO on the SACS Coordination Plan and submitted it to the Global Fund on 20 June 2011. The Plan is currently under the review of the Country Team.

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CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
	Condition Precedent	4. No later than 31 December 2010, the Principal Recipient shall provide to the Global Fund evidence, in form and substance satisfactory to the Global Fund, that the Principal Recipient has established a Steering Committee chaired by NACO and consisting of various stakeholders including all Principal Recipients of Global Fund Round 9 HIV/AIDS Grants (i.e., Ministry of Labor and Education of India and India HIV/AIDS Alliance), NACO, technical experts and Sub-recipients. The Steering Committee shall meet on a quarterly basis for purposes of ensuring harmonization of the activities of Program stakeholders, sharing of technical information and support and avoiding the possibility of double-funding.	Others	Other		Met	<p>The PR has shared the TOR of the Steering committee with NACO. The Draft TOR for the PSC was shared with NACO on December 22, 2010 for comments and suggestions. NACO responded that the PSC TOR had been formulated and approved by S&DG NACO and a copy was shared with the PR on December 23, 2010. Based on the review of the PSC TOR formulated and approved by NACO and the PSC constitution as per the proposal, it has been noted that:</p> <ul style="list-style-type: none"> - The PSC was to consist of various stakeholders including all PRs of GF Round 9 HIV/AIDS Grants, NACO, technical experts and SRs (on rotational basis). However, as per the TOR of PSC formulated by NACO, there is no involvement of SRs. Further, the TOR mentions that the members of the 2 PRs i.e. EHA and Alliance would be co-opted as per the need of the discussion, which indicates that they may not necessarily form part of all PSC meetings. - The PSC was to meet on a quarterly basis for purposes of ensuring harmonization of the activities of program stakeholders. However, as informed by PR, no meeting has taken place of the PSC till date. <p>Fulfillment of this CP requires active involvement of NACO and other stakeholders which are not fully in the control of the PR. The CT, therefore, considers this condition as "met"</p>
	Condition Precedent	No later than 31 March 2011, the Principal Recipient shall provide evidence, in form and substance satisfactory to the Global Fund, that it has submitted to the Country Coordinating Mechanism a report concerning the payment of taxes and duties on the purchase of goods and services for Program purposes (the "Report on Taxes and Duties"). The Report on Taxes and Duties should include, among other things, (i) a general approximation of the aggregate amount of taxes and duties paid on a yearly basis by the Principal Recipient on purchases of goods and services with Global Fund Grant funds (the "taxes on Grant funds"); (ii) obstacles faced by the Principal Recipient in obtaining exemption from taxes and duties and (iii) a request to the Country Coordinating Mechanism for support in addressing the issue of the imposition of taxes on Grant funds.	Others	Other		Met	<p>The PR has submitted the report on payment of taxes and duties on the purchase of goods and services for program purposes to the CCM on 18 March 2011. The report was prepared and submitted jointly with India HIV/AIDS Alliance (which is the other PR under India Round 9 HIV Grant).</p>

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CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
	Condition Precedent	<p>Phase 2 Conditions</p> <p>CONDITIONS PRECEDENT TO TRANSFERS OR USE OF FUNDS</p> <p>1. Condition Precedent to the Transfers or Use of Grant funds for Training Activities</p> <p>The transfers of Grant funds from the GlobalFund to the Principal Recipient or use by the Principal Recipient of Grant funds to finance training activities for the entire Program Term covered under Phase 2 is subject to the satisfaction of the following conditions:</p> <p>a. the delivery by the Principal Recipient to the Global Fund of a costed training plan relating to training activities to be conducted for such period (the "Detailed Training Plan and Budget"). This plan shall be in line with the Global Fund's Budgeting Guidelines (Module 7) and shall demonstrate that no duplication of training activities will occur, that these activities are linked to the Program's objectives and that cash transactions related to cost of logistics and per diem are limited whenever possible; and</p>	Others	Other		Met	EHA submitted its training plan, costed at US 1,614,242 on 18 May 2013, for the current implementation period (Year 3 & 1st quarter of Year 4). However, EHA also submitted its Training plan for Year 4 & 5 on 29th November 2013. Training plan lacks a description of outcome and impact evaluation of the training activities; detailed M&E capacity and system at both: PR and SR levels, a relevant capacity building plan; a detailed data quality assurance procedure, as well as well-defined costs and budget. This section of the TP was not approved and CT requested EHA to revise it. The TP was finally approved on 27 March 2014.
	Condition Precedent	<p>b. the written approval by the Global Fund of the Detailed Training Plan and Budget.</p>	Others	Other		Met	The CT has approved this plan through the Management Letter dated 20 June 2013, noting that the approved Phase 2 budget for training activities for the current implementation period comes to US \$1,691,433. In our management letter to the PR, we have requested it to clarify this difference, noting that the grant budget governs our agreement.
	Condition Precedent	<p>SPECIAL TERMS AND CONDITIONS FOR THIS AGREEMENT</p> <p>1. Within three months after signing this Amendment, the Principal Recipient shall provide to the Global Fund, in form and substance satisfactory to the Global Fund, a comprehensive plan to strengthen its data reporting system, including MIS/Database system, as well as monitoring and supervision of the Sub-recipients and targeted interventions.</p>	M&E	Other	30.Jun.13	Met	EHA submitted the M&E plan on 15th June 2013. Having reviewed the M&E plan CT noted that there was no separate document on the status of the MIS specifically, therefore, the CT infers from information presented in the M&E plan. The plan does not provide enough details on the MIS system itself but rather on specific indicators. The plans lacks important information on the staffing, capacity, description of database EHA uses currently and how different databases may be related to each other. EHA submitted a report on 16 April 2014 given answer to the questions and the plan to strengthen the date reporting system was approved the same day.

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CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
	Condition Precedent	2. The Principal Recipient acknowledges and agrees that supplemental funding, in an amount to be determined by the Global Fund in its sole discretion, may become available under this Grant upon delivery, no later than 30 June 2013, by the CCM to the Global Fund, in form and substance satisfactory to the Global Fund, of a detailed description and costing of focused interventions proposed to be funded using such supplemental funding, and that availability of this funding shall be subject to delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of revised grant documentation (including without limitation a detailed budget, work plan and performance framework) to reflect such interventions.	Others	Other	30.Jun.13	Waived	This CP is waived b/c it is no longer relevant: The grant IDA-910-G24-H with a start date of October 2010 saw no implementation, no results and no disbursement during Phase 1. Consequently, the Board denied the request for continued funding ("Phase 2") in 2012. India CCM concurrence was awaited with reallocation of US \$7.4 million dollars from IDA-910-G24-H prior to a de-commitment date of 30 June 2013. It was expected that CCM will propose reallocation of funds from IDA-910-G24-H to IDA-910-G20-H and IDA-910-G21- H. This did not happen and program term expired and undisbursed funds were de-committed. CT has communicated to EHA that this condition is not longer relevant in a Management Letter dated 12 March 2014.

2. Key Grant Performance Information

2.1. Program Impact and Outcome Indicators

Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025

Goal 1 The overall goal of NACP-III is to halt and reverse the epidemic in India over the next 5 years by integrating programmes for prevention, care, support and treatment.

Impact indicator	% of most-at-risk population(s) (sex workers, clients of sex workers, men who have sex with men, injecting drug users) who are HIV infected													
	Baselines													
	Value							Year						
	7.41 (MSM); 3.6 (Migrants); 7.2 (IDUs)							2007						

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target															
Result	N: D: P: %	N: D: P: 9%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Outcome indicator	% of women and men aged 15-49 expressing accepting attitudes towards people with HIV													
	Baselines													
	Value							Year						
	31.3%							2006						

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: 41%	N: D: P: 51%	N: D: P: 61%	N: D: P: 71%	N: D: P: 81%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Outcome indicator	% of men aged 15-49 reporting sex with a sex worker in the last 12 months who used a condom during last paid intercourse													
	Baselines													
	Value							Year						
	58%							2006						

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: 58%	N: D: P: 60%	N: D: P: 65%	N: D: P: 70%	N: D: P: 80%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Outcome indicator	% of men reporting the use of condom the last time they had anal sex with a male partner in the last 6 months													
	Baselines													
	Value							Year						
	13.3 to 87%							2006						

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	TBD	TBD		TBD	Endline Report Oct-Dec, 2016										
Result															
Data source of Results															

Outcome indicator	Percentage of MSM reporting cases of violence by law enforcement authorities/police										Baselines				
											Value		Year		
											TBD		07-09 2011		

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target		TBD		TBD	Endline Report Oct-Dec, 2016										
Result															
Data source of Results															

Outcome indicator	% of injecting drug users reporting the use of sterile injecting equipment the last time they injected										Baselines				
											Value		Year		
											29%-88% and 73%-87%		2006 2009		

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: 40%	N: D: P: 50%	N: D: P: 60%	N: D: P: 70%	N: D: P: 80%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Impact indicator	% of injecting drug users reporting the use of a condom the last time they had sexual intercourse with a non-regular partner										Baselines				
											Value		Year		
											38% to 83%		2009		

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: 38%	N: D: P: 48%	N: D: P: 58%	N: D: P: 68%	N: D: P: 78%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Impact indicator	Percentage of men who have sex with men, people who inject drugs and sex workers who are HIV-infected.										Baselines				
											Value		Year		
											4.43 (MSM) 7.14 (IDU)		2010-2011		

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	4.43 (MSM) 7.14 (IDU)	Reduction by 0.2% from baseline	Reduction by 0.2% from 2014												
Result															
Data source of Results															

Outcome indicator	Percentage of people who inject drugs who reported using a sterile needle and syringe the last time they injected.										Baselines				
											Value		Year		
											29% to 88%		2006		
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: 50%	N: D: P: 60%	N: D: P: 70%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Outcome indicator	Percentage of people who inject drugs who reported the use of a condom the last time they had sexual intercourse.										Baselines				
											Value		Year		
											38%		2006		
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: 48%	N: D: P: 58%	N: D: P: 68%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

2.2. Programmatic Performance

2.2.1. Reporting Periods

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
N/A	01.Oct.10 31.Dec.10	01.Jan.11 31.Mar.11	01.Apr.11 30.Jun.11	01.Jul.11 30.Sep.11	01.Oct.11 31.Dec.11	01.Jan.12 31.Mar.12	01.Apr.12 30.Jun.12	01.Jul.12 30.Sep.12

2.2.2. Program Objectives, Service Delivery Areas and Indicators

Objective 3 - Strengthen the capacity, reach and quality of IDU harm reduction services (Emmanuel Hospital Association)

CSS: Human resources: skills building for service delivery, advocacy and leadership

Indicator 3.1 - Number of sub recipients selected with staff recruited.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
No Level	N/A	2012	N	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	15	28	28	28	28	28	28	28
Result	Pending result	0	Pending result	20	23	26	Pending result	Pending result

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	27	30	34	40				40
Result	28	25	25	28				26

	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24
Target	40	40	40	40				
Result								

Indicator 3.2 - Number of outreach staff (PE, ORW including female staff) trained and retrained under the Project.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
No Level	0	2012	Top 10 Equ.	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	0	0	0	1,560	3,120	4,680	6,240	7,800
Result				513	1,954	3,377	Pending result	Pending result

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	420	1,620	2,880	3,900				4,740
Result	431	1,400	2,136	2,841				3,097

	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24
Target	1,770	2,970	4,230	5,160				
Result								

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Indicator 3.10 - Number of RTTCs, STRCs and GPCs selected with staff recruited.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
No Level		2010	N	N										
Target	15	28	28	28	28	28	28	28	28	28	28	28	28	28
Result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	26	26			

Indicator 3.11 - Total number of people (NGO program staff, medical staff & peer educators) trained on harm reduction.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
No Level		2010	Top 10 Equ.	N										
Target	0	0	0	1,560	3,120	4,680	6,240	7,800						
Result				Pending result	Pending result	Pending result	4,184	5,523						

Indicator 3.12 - Number of operation research on female IDUs and their partners conducted, reports finalized & results disseminated.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
No Level		2010	N	N										
Target	0	0	1	2	3	4	4	4	4	4	4	4	4	4
Result			Pending result	Pending result	Pending result	Pending result	3	4						

Indicator 3.13 - Number of FIDUs reached & provided services at GPCs through the project.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
No Level			Top 10 Equ.	N										
Target	0	0	340	680	1,360	2,380	4,213	6,740						
Result			Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result

Indicator 3.14 - Number of OST clients counseled on reintegration services.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
No Level		2010	Top 10 Equ.	N										
Target	0	0	650	1,300	2,275	3,413	4,607	5,759						
Result			Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result	Pending result

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Indicator 3.15 - Number of harm reduction sites implementing quality assurance protocol.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
No Level		2010	N	N										
Target	0	0	0	38	75	125	210	230						
Result				Pending result	Pending result	Pending result	207	252						

Indicator 3.16 - Number of supportive supervision visits made by GPC officials to harm reduction sites (Number of visits are based on the ranking of the site).

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
No Level		201	N	N										
Target	0	0	624	598	585	546	507	455						
Result			Pending result	Pending result	Pending result	Pending result	114	191						

CSS: Monitoring & evaluation, evidence building

Indicator 3.3 - Number of research studies on IDUs (including FIDUs) and their sexual partners conducted, reports finalized & results disseminated.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)																								
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24
No Level	0	2012	N	N																								
Target	0	0	1	2	3	4	4	4																				
Result			Pending result	0	1	2	Pending result	Pending result																				
Target	0	0	2	4																								6
Result	0	0	0	0																								0
Target	2	3	4	5																								
Result																												

CSS: Community based activities and services – delivery, use and quality

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Indicator 3.4 - Number of FIDUs provided services by Project Learning Sites.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)								
	Value	Year										
No Level	0	2012	Top 10 Equ.	N								
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8				
Target	0	0	340	680	1,360	2,380	4,213	6,740				
Result			Pending result	156	509	2,593	3,661	4,284				
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16				
Target	270	270	280	280				1,180				
Result	280	286	296	188				1,251				
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24				
Target	310	310	320	320								
Result												

Indicator 3.5 - Number of OST clients counseled on reintegration services by Project Learning Sites.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)								
	Value	Year										
No Level	0	2012	Top 10 Equ.	N								
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8				
Target	0	0	650	1,300	2,275	3,413	4,607	5,759				
Result			Pending result	0	1,101	5,002	6,604	8,066				
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16				
Target	600	600	650	650				2,900				
Result	751	621	810	2,907				2,771				
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24				
Target	800	800	850	900								
Result												

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Indicator 3.6 - Total number of staff trained and retrained on OST module under the project.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)								
	Value	Year										
No Level	0	2012	Top 10 Equ.	N								
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8				
Target	0	0	0	38	75	125	175	210				
Result				0	46	128	Pending result	Pending result				
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16				
Target	60	420	780	1,200				1,680				
Result	133	299	403	522				740				
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24				
Target	720	1,500	2,040	2,040								
Result												

Indicator 3.7 - Number of OST sites visited for supportive supervision by Project RTTCs / NACO identified experts.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)								
	Value	Year										
No Level	N/A	N/A	N	N								
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8				
Target	0	0	624	598	585	546	507	455				
Result			Pending result	30	125	221	Pending result	Pending result				
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16				
Target	10	22	36	52				116				
Result	4	4	13	13				32				
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24				
Target	40	86	136	190								
Result												

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CSS: Human resources: skills building for service delivery, advocacy and leadership

Indicator 3.9 - Number of Program staff, clinical staff, master trainers, SACS Officials and other experts trained and retrained under the Project.

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)								
	Value	Year										
No Level	1500	2012	Top 10 Equ.	N								
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8				
Target												
Result												
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16				
Target	450	1,470	2,640	3,360				3,030				
Result	129	542	750	1,043				434				
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24				
Target	1,140	2,280	3,480	3,840								
Result												

2.2.3. Cumulative Progress To Date

Latest reporting due period : 16 (01.Jul.14 - 30.Sep.14)

Objective 3 Strengthen the capacity, reach and quality of IDU harm reduction services (Emmanuel Hospital Association)

SDA CSS: Human resources: skills building for service delivery, advocacy and leadership

Indicator 3.1 - Number of sub recipients selected with staff recruited.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	16	40	16	26					65%	

Indicator 3.2 - Number of outreach staff (PE, ORW including female staff) trained and retrained under the Project.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	16	4,740	16	3,097					65%	

Indicator 3.10 - Number of RTTCs, STRCs and GPCs selected with staff recruited.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	8	28	8	26					93%	

Indicator 3.11 - Total number of people (NGO program staff, medical staff & peer educators) trained on harm reduction.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	8	7,800	8	5,523					71%	

Indicator 3.12 - Number of operation research on female IDUs and their partners conducted, reports finalized & results disseminated.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	8	4	8	4					100%	

Indicator 3.13 - Number of FIDUs reached & provided services at GPCs through the project.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	N/A		N/A	Not Found					Cannot Calculate	

Indicator 3.14 - Number of OST clients counseled on reintegration services.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	N/A		N/A	Not Found					Cannot Calculate	

Indicator 3.15 - Number of harm reduction sites implementing quality assurance protocol.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	8	230	8	252					110%	

Indicator 3.16 - Number of supportive supervision visits made by GPC officials to harm reduction sites (Number of visits are based on the ranking of the site).

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	8	455	8	191					42%	

SDA CSS: Monitoring & evaluation, evidence building

Indicator 3.3 - Number of research studies on IDUs (including FIDUs) and their sexual partners conducted, reports finalized & results disseminated.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	16	6	16	0						0%

SDA CSS: Community based activities and services – delivery, use and quality

Indicator 3.4 - Number of FIDUs provided services by Project Learning Sites.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	16	1,180	16	1,251						106%

Indicator 3.5 - Number of OST clients counseled on reintegration services by Project Learning Sites.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	16	2,900	16	2,771						96%

Indicator 3.6 - Total number of staff trained and retrained on OST module under the project.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	16	1,680	16	740						44%

Indicator 3.7 - Number of OST sites visited for supportive supervision by Project RTTCs / NACO identified experts.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	16	116	16	32						28%

SDA CSS: Human resources: skills building for service delivery, advocacy and leadership

Indicator 3.9 - Number of Program staff, clinical staff, master trainers, SACS Officials and other experts trained and retrained under the Project.

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	16	3,030	16	434						14%

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2.3. Financial Performance

2.3.1. Grant Financial Key Performance Indicators (KPIs)

Grant Duration (months)	60 months	Grant Amount	9,225,497 \$
% Time Elapsed (as of end date of the latest PU)	80%	% disbursed by TGF (to date)	100%
Time Remaining (as of end date of the latest PU)	12 months	Disbursed by TGF (to date)	9,225,497 \$
Expenditures Rate (as of end date of the latest PU)	64%	Funds Remaining (to date)	

2.3.2. Program Budget

	Budget Period 1	Budget Period 2	Budget Period 3	Budget Period 4	Budget Period 5	Budget Period 6	Budget Period 7	Budget Period 8
Period Covered From:	01.Oct.10	01.Jan.11	01.Apr.11	01.Jul.11	01.Oct.11	01.Jan.12	01.Apr.12	01.Jul.12
Period Covered To:	31.Dec.10	31.Mar.11	30.Jun.11	30.Sep.11	31.Dec.11	31.Mar.12	30.Jun.12	30.Sep.12
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	237,333	596,225	1,150,288	2,049,513	2,977,118	3,827,842	4,520,295	3,313,616
Summary Period Budget:	237,333	358,892	554,063	899,225	927,605	850,724	692,453	702,900

Expenditure Categories

Program Activities

Implementing Entities

	Budget Period 9	Budget Period 10	Budget Period 11	Budget Period 12	Budget Period 13	Budget Period 14	Budget Period 15	Budget Period 16
Period Covered From:	01.Oct.12	01.Jan.13	01.Apr.13	01.Jul.13	01.Oct.13	01.Jan.14	01.Apr.14	01.Jul.14
Period Covered To:	31.Dec.12	31.Mar.13	30.Jun.13	30.Sep.13	31.Dec.13	31.Mar.14	30.Jun.14	30.Sep.14
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	3,817,255	4,556,609	5,321,980	6,041,447	6,836,233	7,711,490	8,477,439	9,194,210
Summary Period Budget:	503,639	739,354	765,371	719,467	794,786	875,257	765,949	716,771

Expenditure Categories

Program Activities

Implementing Entities

	Budget Period 17	Budget Period 18	Budget Period 19	Budget Period 20	Budget Period 21	Budget Period 22	Budget Period 23	Budget Period 24
Period Covered From:	01.Oct.14	01.Jan.15	01.Apr.15	01.Jul.15	01.Oct.15	01.Jan.16	01.Apr.16	01.Jul.16
Period Covered To:	31.Dec.14	31.Mar.15	30.Jun.15	30.Sep.15	31.Dec.15	31.Mar.16	30.Jun.16	30.Sep.16
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	10,191,422	11,160,993	11,982,088	12,535,922	12,535,922	12,535,922	12,535,922	12,535,922
Summary Period Budget:	997,212	969,571	821,095	553,834				

Expenditure Categories

Program Activities

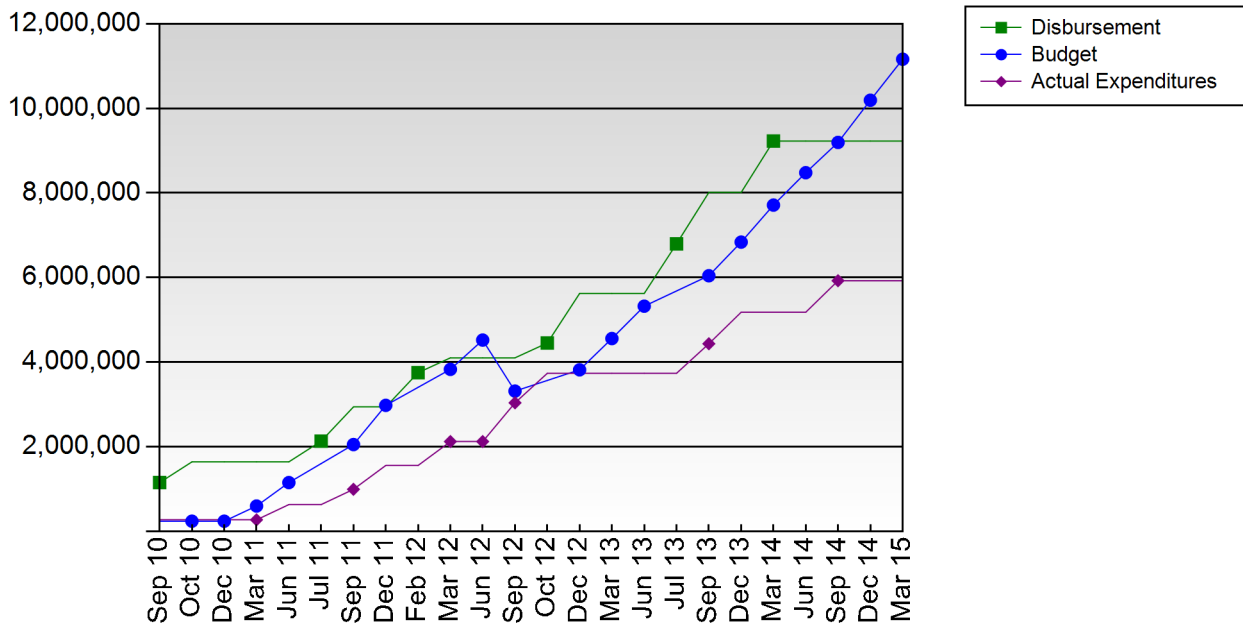
Implementing Entities

- Comments and additional information

2.3.3. Program Expenditures

Period PU7: 01.Oct.13 - 30.Sep.14	Actual Cash Outflow	Cumulative Budget	Cumulative Cash Outflow	Variance	Reason for variance
1. Total cash outflow vs. budget	\$ 1,491,355	\$ 9,194,210	\$ 5,924,020	\$ 3,270,190	
1a. PR's Total expenditure	\$ 347,680		\$ 1,244,334		
1b. Disbursements to sub-recipients	\$ 1,143,675		\$ 4,679,686		
1c. Expenditure Adjustments					Reason for adjustments
2. Pharmaceuticals & Health Product expenditures vs budget					
2a. Medicines & pharmaceutical products					
2b. Health products and health equipment					

2.3.4. Cumulative Program Budget, Expenditures and Disbursement to Date



2.4. Progress Update and Disbursement Information

Rating	Description
A1	Exceeding expectations
A2	Meeting expectations
B1	Adequate
B2	Inadequate but potential demonstrated
C	Unacceptable

Progress Updates					Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
0	01.Oct.10 -		N/A	1	01.Oct.10 - 30.Jun.11	1,150,288	\$ 1,150,288	21 Sep 2010	
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement				
First Disbursement					N/A				
Progress Updates					Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
1	01.Oct.10 - 31.Mar.11		C	2	01.Apr.11 - 30.Sep.11	1,647,105	\$ 979,372	13 Jul 2011	
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement				
<p>The PR was scheduled to report on only one coverage indicator, which resulted in the low "C" rating, for the number of regional technical training centers (RTTCs), state training and resource centers (STRCs) and "good practice centers"(GPCs – now termed learning centers, or "LCs") selected and requisite staff recruited. An initial PAB meeting was held 31 March 2011 following which EHA was able to identify and positively assess 23 of a possible 31 SRs, including 2 technical partners, (Sharan & UNODC,) Indian Harm Reduction Network (IHRN), 4 of 5 RTTCs, 5 of 10 STRCs and 11 of 13 Learning Sites (as of EHA's update dated 7 July 2011).</p> <p>Because final SR selection was delayed past 31 March of 2011, EHA has been commensurately delayed in completing its SR and site selection. Final confirmation of the remaining 17 SRs awaits a second PAB meeting for this purpose. EHA has requested NACO to call this meeting in its capacity as PAB chair. On 4 July 2011, EHA sent its partners an agenda for the necessary follow-up PAB meeting and anticipates that it will be able to complete the full process by the end of the summer of 2011.</p> <p>It the coming quarter, the Global Fund will work with both NACO and EHA to remove any further procedural obstacles to normal grant implementation with the expectation that EHA would then be able to report adequately on this and the remaining seven coverage indicators in its Performance Framework.</p>					<p>The difference between the amount requested by the PR and recommended amount (US\$ 667,733) is because of the following factors taken into account in calculating forecast for the period of Disbursement:</p> <p>Total budget for the two periods and the buffer is US\$ 2,380,893. Based on this budget, adjustments to the PR's forecasted amount for training and other costs have been taken into consideration. Budget for this period excluding the total budgeted amount for training budget (US\$ 452,984) and other adjustments (US\$ 194,461) is US\$ 1,260,850.</p> <p>Add: US\$ 475,734 for Training costs as per approved Training Plan for the period April 2011 to September 2011. Amount for training in the buffer is excluded pending submission of a training plan for period October 2011 to December 2011.</p> <p>Add: US\$ 7,747 approved for exceptional Training requested by PR</p> <p>Add: US\$ 28,295 as approved salary costs for Accountants at SR's (at a rate of INR 8000 for 28 staff for 3 months)</p> <p>Add: US\$ 82,611 as carry forward from Q1 & Q2 and committed expenses.</p> <p>Less: US\$ 6,544 as SR Cash Balance</p> <p>Less: US\$ 869,321 as the PR's Closing Cash Balance.</p> <p>The Total Recommended Disbursement amount is therefore US\$ 979,372</p>				

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Progress Updates				Disbursement Information					
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
2	01.Apr.11 - 30.Sep.11		C	3	01.Oct.11 - 31.Mar.12	1,872,332	\$ 1,619,529	22 Feb 2012	

Summary of Progress				Reasons for variance between PR Request and Actual Disbursement					
<p>This is the third disbursement request under this grant. Because final SR selection was delayed past 31 March 2011, EHA has been commensurately delayed in completing its SR and site selection. Based on the results, the grant rating tool generates a "C" rating, which is indicative of the grant's progress through the end of the reporting period and the second consecutive "C" rating for this grant. EHA's difficulties stem directly from the arrangement governing the country's Round 9 program, namely the fact that ultimate decision-making authority rests exclusively with NACO, and not with EHA. EHA has had to select 28 SRs with concurrence from NACO (in addition to 3 pre-identified SRs at the time of proposal) by Q2. As a result, only 23 SRs were selected by end of the reporting period, including 13 Learning Centers (LS), 9 State Training Resource Centers (STRCs) and one Regional Technical and Training Center (RTTC). This delay in SR selection has had a critical impact on the overall grant performance, resulting in the quantitative C rating as of mid-2011. However, with 23 SRs on board as of the third and fourth quarters of 2011, grant implementation has gained momentum and is expected to pick up more speed as soon as all the SRs are selected. This is reflected in an end-of year update received from EHA on 12 January 2012.</p>				<p>EHA's expenditure rate during the two reporting periods Q3 and Q4 was 50% . The PR spent 62% of its planned budget during these reporting periods whereas disbursements to SRs amounted to some 47% of their overall combined budgets. The combined cumulative expenditure rate by the end of the reporting period was around 48% The PR spent approximately 63% and the SRs spent 44% of its cumulative budget through the reporting period). Due to delays in the selection of SRs, EHA was not able to expend its corresponding budget for the activities to be undertaken by the SRs. Now that most of the SRs have been selected and staffing has been completed for 21 SRs, it is expected that there will be an improvement not only in terms of indicator targets but also EHA's expenditure rate.</p>					

Progress Updates				Disbursement Information					
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
3	01.Oct.11 - 31.Mar.12		A2	4	01.Apr.12 - 30.Sep.12	508,566	\$ 701,024	17 Oct 2012	

Summary of Progress				Reasons for variance between PR Request and Actual Disbursement					

Progress Updates				Disbursement Information					
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
4	01.Apr.12 - 30.Jun.12		N/A					N/A	

Summary of Progress				Reasons for variance between PR Request and Actual Disbursement					

Progress Updates				Disbursement Information					
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
5	01.Jul.12 - 30.Sep.12		B1	5	01.Oct.12 - 31.Dec.13	2,365,205	\$ 2,345,096	08 Jul 2013	

Summary of Progress				Reasons for variance between PR Request and Actual Disbursement					
<p>This is the 7th and last progress update review for Emmanuel Hospital Association (EHA) for the first phase of grant IDA-910-G21-H, covering the period of 1 July to 30 September 2012. The PR demonstrated good programmatic results during the reporting period, generating a "B1" performance rating. After increasing from 84% in the last period, the average level of achievements for all indicators went up to 86% in the period of update. The Top Ten indicator rating was "B1" in the period under review.</p>				<p>This disbursement covers the third program year of grant IDA-910-G21H plus a three month buffer period (1 October 2012-31 December 2013). EHA submitted a forecast for this period in the amount of US \$3,483,910 and, accounting for its cash balance, a disbursement request in the amount of US \$2,365,205. The Country Team revised this forecast downwards by US \$53,411 to adjust for a decrease in calculation of PR commitments from US \$91,782 to US \$85,109 (see LFA Annexure 3, Table A) and an increase in the forecasts for SR human resources and meeting expenditures from US \$39,616 to US \$53,411 (see LFA Annexure 3, Table B). In the light of the above, the India Country Team has recommends a disbursement decision</p>					

Compared to last reporting period, the All Indicator rating remained steady at "B1". EHA succeeded in surpassing its targets for two indicators with adjusted achievement rates of 110% and 120%, respectively: "Number of harm reduction sites implementing quality assurance protocol" and "Number of OST clients counseled on reintegration services". There is also a considerable improvement in results for on four indicators, namely, "Number of operation research on female IDUs and their partners conducted, reports finalized & results disseminated"; "Number of harm reduction sites implementing quality assurance protocol"; "Number of supportive supervision visits made by GPC officials to harm reduction sites" and "Number of OST clients counseled on reintegration services" when compared to the last period (March 2012).

The PR was only able to partially meet its targets for the indicator "Number of supportive supervision visits made by GPC officials to harm reduction sites," registering a 42% achievement rate for the reporting period. Of greater concern is that EHA reports lesser client coverage results for the current reporting period when compared to the previous 6 months, reflected by a drop from 109% to 64% achievement for the indicator "Number of FIDUs reached & provided services at GPCs through the project." We understand that the main reason explaining this underachievement is a lower than expected number of female injecting drug users registered under the government's "targeted intervention" sites for people who inject drugs. Because it does not control the government sites, EHA is unable to accurately report numbers of FIDUs reached and provided services at learning sites for the entire grant - which include both EHA and government supported sites. This was confirmed by the results of the OSDV conducted in September 2012. For Phase 2 the Country Team made necessary revisions which will permit figures to be collected exclusively from grant-supported learning sites. The Country Team will also work with the PR and government to increase

client coverage going forward. This is the 5th disbursement for EHA. Its accompanying progress update covers the last two quarters of the first implementation period of the grant. The request itself covers program year 3 plus a one quarter buffer, corresponding to the period from 1 October 2012 to 31 December 2013. The PR's expenditure rate over the final semester of Phase 1 accelerated compared to the previous period. EHA received US \$4,450,213 out of committed amount of US \$ 5,223,193 (85%) and absorbed 58% of the funds received (US \$ 3,036,193 out of US \$5,223,193). EHA's cash outflow for the reporting period of April through September 2012 represents 66% of the budget; with PR spending 57 %of its budget and disbursements to SRs amounting to 67%. At the date of the progress update (the end of Phase 1) EHA utilized 58% of the cumulative budget. The grant's cumulative variance of US \$2,187,113 is due largely to savings in TA & monitoring visits to SRs due to delays in SR selection. EHA reports an opening cash balance of US \$1,371,144 and a closing cash balance of US \$417,681. We adjusted EHA's opening cash balance to US \$1,371,096 and the closing cash balance to US \$417,632. The difference is due to a US \$49 adjustment of an expense erroneously recorded twice. The PR's cash outflow during the reporting period is US \$915,785 against approved budget allocation of US \$1,395,350 reflecting a positive variance of US \$479,565. This variance is mainly attributable to delays in SR selection.

of US \$2,345,096 to the Principal Recipient for the period 1 October 2012 -30 December 2013 (including a buffer period). The amount can be broken down in a following manner:

Add: Budget P9-P13: US \$3,522,617
 Add: PR commitments as at 30.09.2012: US \$85,411
 Less: Adjustments to approved budget P9-P13: US \$53,411
 Less: PR cash balance: US \$417,632
 Less: SR cash balance: US \$90,563
 Less: 'cash in transit': US \$701,024

Progress Updates				Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date

6	01.Oct.12 - 30.Sep.13	B1	6	01.Oct.13 - 31.Dec.14	2,576,109	\$ 2,430,188	19 Mar 2014
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Summary of Progress	Reasons for variance between PR Request and Actual Disbursement
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EHA submitted latest progress update for one quarter (1st July to 30 September 2013, having previously submitted separate PUs for the preceding three quarters). The Country Team reviewed the PR's cumulative submissions covering the first four quarters of Phase 2, representing the period from 1 October 2012 to 30 September 2013, assigning an overall "B1" rating to the grant. The grant's top ten indicator rating is also "B1." While the PR's results for the current period were satisfactory, the grant's achievement rate for 4 of 9 coverage indicators was quite low.

No results were reported for the indicator measuring the number of operational research studies conducted because operational research (OR) study topics were not finalized by the government's Department of AIDS Control (DAC).

Two additional indicators with low achievement rates relate to opioid substitution therapy (OST). EHA has been able to report little progress on supportive supervisory visits to OST sites visited (25% of the anticipated number of visits through the end of the reporting period). This was the direct result of DAC's ability to qualify only the National Drug Dependence Treatment Center (NDDTC) as an OST training site, delaying the process for other scheduled Regional Technical Training Centers (RTTCs). EHA achieved 44% of its target for a second indicator, "Total number of staff trained and retrained on OST module under the project." We are aware that it is challenging for EHA to achieve its targets against this indicator given that there are considerably fewer OST sites established than initially planned – only 136 instead of 200. Targets for this indicator were based on the OST site projections of DAC.

EHA achieved 31% of its target for the indicator, "Number of program staff, clinical staff, master trainers, SACS officials and other experts trained and retrained under the project." This was due primarily to DAC delays in finalizing the 'reintegration module' used for the training and the discontinuation of UNODC as an SR in Phase 2 of the grant. We understand that EHA has undertaken steps to improve performance in this area by fully funding training conducted at State Training and Resource Centers (STRCs) and directly managing training activities previously conducted by UNODC. EHA exceeded its target for one indicator: "Number of OST clients counselled on reintegration services by Project Learning Sites," with an achievement rate of 116%.

This is the 6th disbursement for EHA. Its accompanying progress updates cover the first four quarters of the second implementation period of the grant. The request itself covers program year 4 and a buffer quarter in year 5, corresponding to the period from 1st October 2013 to 31st December 2014.

To date, EHA has received US \$6,795,309 out of a committed amount of US \$12,535,920 (54%) and absorbed 65% of the funds it received (US \$4,432,714 out of US \$6,795,309).

EHA reported an opening cash balance of US \$417,632 and a closing cash balance of US \$1,899,012. We have made a minor adjustment to EHA's reported closing cash balance bringing it to US \$1,899,009 to account for a net exchange rate loss.

The PR's cash outflow during the reporting period is US \$1,396,634 against approved budget allocation of US \$2,727,829 representing 51% of burn rate and a positive variance of US \$1,331,195. This variance is mainly attributable to delays in SR selection.

EHA's own expenditure represented 74% of the budget (US \$311,311 out of US \$422,416) and US

This disbursement request covers Year 4 and one quarter of Year 5 together with a one quarter buffer (1 October 2013 - 31 December 2014). EHA submitted a forecast for this period in the amount of US \$4,475,121 and, accounting for its cash balance, a disbursement request in the amount of US \$2,576,109.

The Country Team revised this forecast downward to US \$4,329,197 to adjust for a calculated decrease in PR carry-forward activities from US \$809,508 to US \$807,104 and a decrease due to an exchange rate adjustment of USD \$ 566,910.

We lowered the overall forecast to factor in a recent Global Fund Country Team decision, communicated to India's PRs on 30 August 2013, to adjust the USD/INR exchange rate using a 200-day moving average to reflect last year's sharp fall in the value of rupee against the US dollar.

Although the Country Team is not recommending deduction of funds to finance training activities from the disbursement amount, we request EHA not to use these funds for Years 4 & 5 pending revision by EHA and approval by the Global Fund of the program's training plan in line with the grant agreement.

In the light of the above, the India Country Team has recommended a disbursement of US \$2,430,188 to the Principal Recipient for the period 1 October 2013 -31 December 2014 (including a buffer period).

\$1,085,323 was disbursed to the SRs. Cumulatively, the PR spent 73% of the grant budget (US \$4,432,714 out of a US \$6,041,447), out of which US \$869,703 was their own expenditure and US \$3,536,011 was disbursed to SRs. The grant's adjusted cumulative variance of US \$1,608,733 is due to an initial delay in SR selection that was completed by the end of August 2011. Hence, also, the PR's delayed expenditures in monitoring visits and technical assistance.

Material expenditure utilization for the 12 month period of performance can be summarized as follows:

PR under-spending:

- 7% under Human Resources due to exchange rate differences in budget & expenditure conversion
- 32 % under M&E due to fewer than planned Project Advisory Board (PAB) & regional meetings
- 18% under Overheads due to savings
- 39% under Planning & Administration due to as yet unpaid audit fees; savings in advertisement costs for SRs
- 62% under Training due to delays in SR selection of SRs; expenditures booked under wrong cost categories; several training activities being carried forward

SR under-spending:

- 33% under Human Resources due to fewer than planned numbers of SRs recruited: 28 vs 40
- 76% under Infrastructure & Equipment due to centralized procurement system savings
- 81% under M&E due to fewer than anticipated OST trainings and supportive supervision visits being conducted
- 42 % under Overheads & 4 % in Planning & Administration -- both due to delays in SR selection and hiring
- 77% under Technical Assistance due to delays in hiring SRs; discontinuation of UNODC as an SR in Phase 2; savings in trainings being funded by State AIDS Societies (SACS) and not by STRCs
- 60% under Training due to savings generated by discontinuation of UNODC; delays in SR selection and recruitment

PR Over-Spending:

- The only overspending (210% of budget line) was registered as a result of PR payments in the current reporting period for a learning & sharing workshop conducted in Phase 1

Progress Updates				Disbursement Information					
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
7	01.Oct.13 - 30.Sep.14		B1	7	01.Oct.14 - 30.Sep.15	614,959		N/A	
Summary of Progress				Reasons for variance between PR Request and Actual Disbursement					
<p>EHA submitted latest progress update for one quarter (1st July to 30 September 2014, having previously submitted separate PUs for the preceding three quarters). The Country Team reviewed the PR's cumulative submissions covering the second four quarters of Phase 2, representing the period from 1 October 2013 to 30 September 2014, assigning an overall "B1" rating to the grant.</p> <p>The grant's top ten indicator rating is also "B1." While the PR's results for the current period were satisfactory, the grant's achievement rate for 4 of 9 coverage indicators was quite low.</p>				<p>This disbursement request covers Year 5 of the grant without a buffer (1 October 2014 - 30 September 2015). EHA submitted a forecast for this period in the amount of US \$3,501,347 and, accounting for its cash balance, a disbursement request in the amount of US \$614,959.</p> <p>The Country Team revised this forecast downwards to US \$2,167,214 to adjust for a calculated increase in PR carry-forward activities from US \$670,244 to US \$702,422 and a decrease due to an exchange rate adjustment of USD \$8,193 to factor in a Global Fund Country Team decision, communicated to India's PRs on 30 August 2013, to adjust the USD/INR exchange rate using a 200-day moving average to reflect last year's sharp fall in the value of rupee against the US dollar, as well as the considerable cash balance on PR and SR levels: US \$2,972,269 as of 30 September 2014 and US \$2,459,863 as of 31 December 2014.</p> <p>The forecast was further revised downwards to US \$2,167,214 taking into consideration the grant's historic performance and burn rate trend. In the light of the above, the India Country Team has recommended a zero disbursement to the Principal Recipient for the period 1 October 2014 -30 September 2015.</p>					

2.5. Contextual Information

Title	Explanatory Notes

2.6. Phase 2/ Periodic Review Grant Renewal

Performance Rating		Recommendation Category	
Rationale for Phase 2/ Periodic Review Recommendation Category			
Rationale for Phase 2/ Periodic Review Recommendation Amount			

Time-bound Actions	
Issues	Description

