



GRANT MANAGEMENT SOLUTIONS

# Eligibility & Performance Assessment



I-CCM Induction & Orientation Workshop  
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T. Clary  
G. Mortimore  
I. Reshevskia

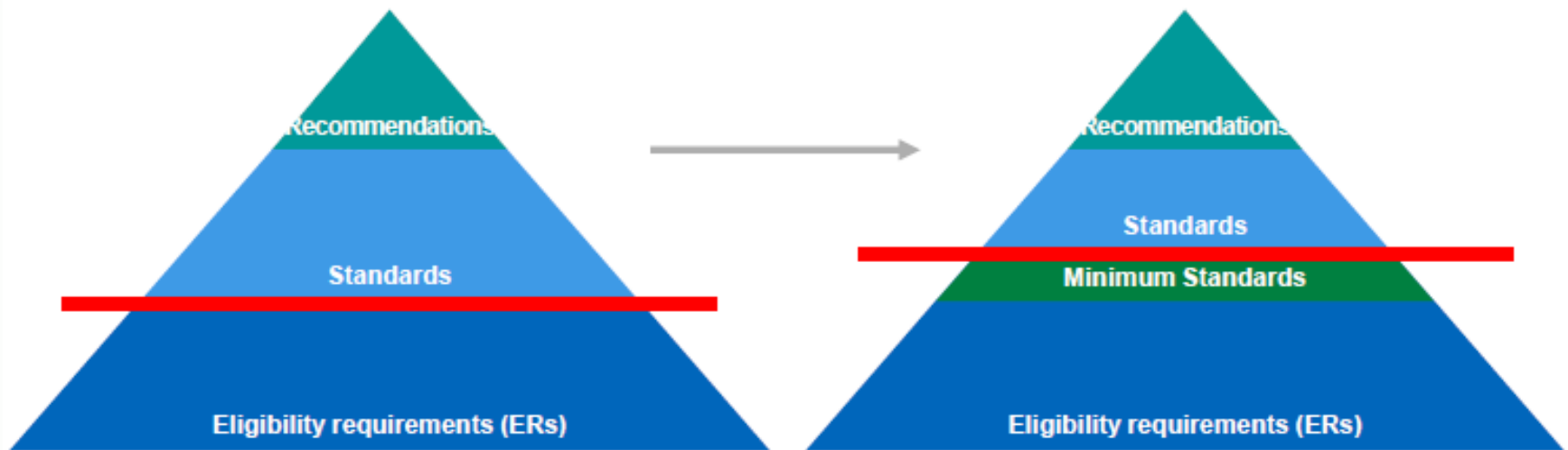


# What's new?

**Minimum Standards** represent minimum criteria considered vital for effective CCM performance based on accumulated experience. As of **January 1, 2015**, compliance with them will **also** be a condition in order to be eligible for funding.

Before January 1 2015

As of January 1, 2015



 = Compliance with CCM Eligibility Requirements (and Minimum Standards as of January 1 2015)



# Important highlights

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- 4 Requirements to be assessed during EPA
- Revised Requirement 4
- Minimum Standards became enforceable in January 2015
- EPA as a prerequisite for CNs submission, grant making and grant signature

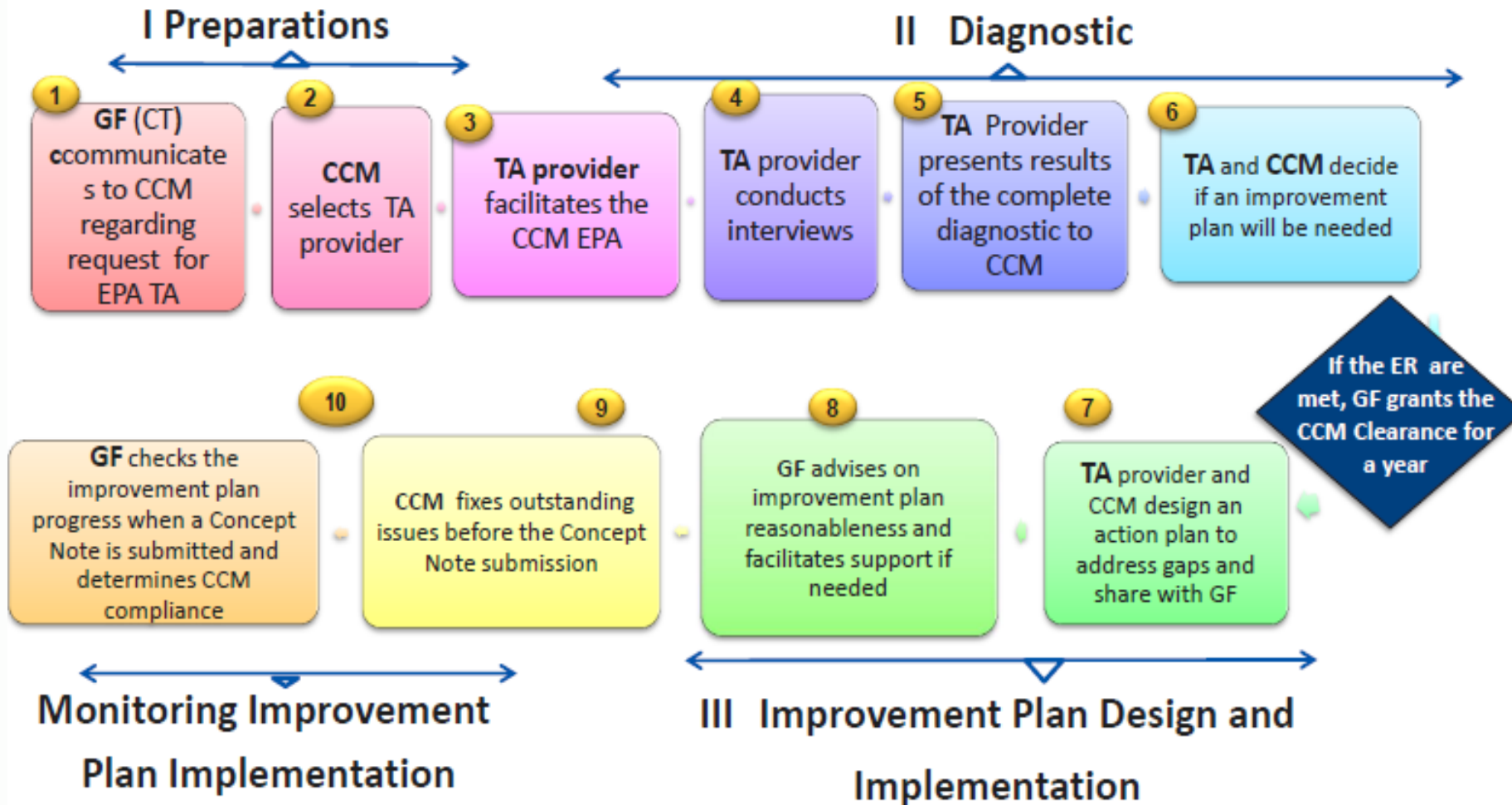


# Update to ER 4

<p><b>1</b> Specifically including Key Populations as a CCM requirement</p>	<ul style="list-style-type: none"><li>• Taking into account the socio-epidemiological context.</li><li>• Direct or indirect representation of Key Populations on CCMs will be possible, especially in countries where some Key Populations are marginalized or criminalized</li></ul>
<p><b>2</b> Increasing representation</p>	<ul style="list-style-type: none"><li>• Of persons that are both living with and representing people living with HIV on CCMs</li></ul>
<p><b>3</b> Linking the need for representatives</p>	<ul style="list-style-type: none"><li>• For TB and malaria in contexts: where those diseases are a public health issue (regardless of whether Global Fund funding has been requested or not)</li><li>• e.g. in cases where a CCM does not have a TB representative, although TB is a significant issue in the country</li></ul>



# Detailed EPA Process





# EPA Tool and Shared Responsibilities

## EPA Tool

### Pillar 1:

Desk Review  
(TS Provider)  
and Self-  
Assessment  
(CCM)

### Pillar 2:

Interviews (TS  
Provider)

### Pillar 3:

Performance  
Improvement  
Plan  
(CCM & TS  
Provider)



# I-CCM EPA Basics

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- Originally conducted in August 4, 2014
- Submitted to the Global Fund on September 7, 2014
- At the time determined to be working toward basic compliance (69.4%)
- No EPA done in 2015; next EPA scheduled for January 2016
- FC = Fully Compliant; IC = Indeterminate Compliant; NC = Non-Compliant



# Original EPA Results and Progress Since (Oversight)

Requirement	Indicator	Original rating	Progress
The CCM has an oversight plan...	A complete CCM oversight plan	IC	Completed and endorsed oversight plan
CCM has oversight body with adequate skills...	The oversight body has access to the following core skills...	FC	Need to establish new OC?
CCM has oversight body with adequate skills...	Dated meeting minutes which document formal appointment or election the oversight body...	FC	Need to establish new OC?
The oversight body or CCM seeks feedback...	Documentary evidence of consultations...to obtain feedback	IC	Need to establish new OC?





# Original EPA Results and Progress Since (Oversight)

Requirement	Indicator	Original rating	Progress
The oversight body conducts oversight activities...	Dated meeting minutes, reports or work plans that provide evidence...	IC	Need to establish new OC?
The CCM takes decisions and corrective action...	Whenever problems and challenges are identified, the CCM has indicators of oversight and followed up on corrective actions.	IC	Need to establish new OC?
The CCM shares oversight results...	Evidence of oversight report(s) shared...	IC	Need to establish new OC?



## Original EPA Results and Progress Since (Membership and Representation)

Requirement	Indicator	Original rating	Progress
The CCM ensures adequate representation of key affected populations...	CCM membership of key affected populations may include... or CCM has "advocates" instead of direct representation.	FC	Membership renewal occurred during 2015
The CCM ensures adequate representation of PLWD...	Civil society members that represent organization(s)/network(s); or leaders of relevant communities...	FC	Membership renewal occurred during 2015
Minimum Standard	Indicator	Original rating	Progress
The CCM has balanced representation of men and women	- The CCM membership ( <i>members and alternates</i> ) shows a balanced female representation.	IC	Membership renewal occurred during 2015



# Original EPA Results and Progress Since (Elections and Feedback)

Requirement	Indicator	Original rating	Progress
All non-governmental constituencies... selected their representative(s) through a transparent and documented process	Documenting the process it followed to select its representative(s) on the CCM	FC	Civil society elections completed during 2015
Minimum Standard	Indicator	Original rating	Progress
40% representation from national civil society sectors.	40%-of CCM membership	FC	Civil society elections completed during 2015
CCM has clearly defined processes of soliciting inputs from and providing feedback...	Each civil society representative on the CCM has a work plan...	NC	TBD
The CCM elects its Chair and Vice-Chair(s) from different sectors and also follows...periodic change... of leadership according to CCM by-laws.	CCM membership details shows that the CCM Chair and Vice-Chair are from different sectors and there are clear procedures for rotation...	FC	Need to electi Vice-Chair



# Original EPA Results and Progress Since (Conflict of Interest)

Requirement	Indicator	Original rating	Progress
The CCM has a COI Policy...	The CCM Col policy applies to all members and requires members in situations of COI to recuse from decision making	FC	COI Policy endorsed
The CCM has a COI Policy...	CCM members have signed a Col declaration form	IC	ASAP
CCM meeting minutes demonstrate that CCMs follow the procedures to prevent, manage and mitigate Col.	Percentage of CCM meeting minutes in the past 12 months in which Col has been applied	IC	To be applied
Minimum Standard	Indicator	Original rating	Progress
The CCM ensures that the number of members in the CCM with Col does not exceed 1 person per constituency	Not more than one CCM member with voting rights per constituency is in a position of conflict of interest...	IC	Membership renewal completed in 2015



# Other key actions

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- Membership renewal, including civil society elections
- Hired three Secretariat staff
- Updated and endorsed Terms of Reference
- Developed Communication Plan
- Renewed website





# GRANT MANAGEMENT SOLUTIONS



A U.S. government-funded partnership consisting of Management Sciences for Health, Abt Associates, Futures Group, International HIV/AIDS Alliance, MIDEGO, Pact, Training Resources Group, and committed to strengthening the performance of Global Fund grants.

